

Database Theory

VU 181.140, WS 2024

7. Ehrenfeucht-Fraïssé Games

Matthias Lanzinger

(adapted from slides by Reinhard Pichler/Christoph Koch)

Institut für Informationssysteme
Arbeitsbereich DBAI
Technische Universität Wien

10 December, 2024



Motivation

Using logic to express properties of structures

Definition

Let \mathcal{L} be some logic. We say that some **property \mathcal{P} of structures is expressible in \mathcal{L}** if there exists a sentence ϕ in \mathcal{L} , s.t. for all structures \mathcal{A} , the following equivalence holds:

$$\mathcal{A} \text{ has property } \mathcal{P} \text{ iff } \mathcal{A} \models \phi$$

Using logic to express properties of structures

Definition

Let \mathcal{L} be some logic. We say that some **property \mathcal{P} of structures is expressible in \mathcal{L}** if there exists a sentence ϕ in \mathcal{L} , s.t. for all structures \mathcal{A} , the following equivalence holds:

$$\mathcal{A} \text{ has property } \mathcal{P} \text{ iff } \mathcal{A} \models \phi$$

Example

Property: “graph is closed w.r.t. transitivity”:

This property is expressible in First-Order logic:

Using logic to express properties of structures

Definition

Let \mathcal{L} be some logic. We say that some **property \mathcal{P} of structures is expressible in \mathcal{L}** if there exists a sentence ϕ in \mathcal{L} , s.t. for all structures \mathcal{A} , the following equivalence holds:

$$\mathcal{A} \text{ has property } \mathcal{P} \text{ iff } \mathcal{A} \models \phi$$

Example

Property: “graph is closed w.r.t. transitivity”:

This property is expressible in First-Order logic:

$$\phi = \forall x \forall y \forall z (e(x, y) \wedge e(y, z) \rightarrow e(x, z))$$

Motivation

- Goal: Inexpressibility proofs for FO queries.
- A standard technique for inexpressibility proofs from logic (model theory): Compactness theorem.
 - Discussed in logic lectures.
 - Fails if we are only interested in finite structures (=databases).
The compactness theorem does not hold in the finite!
- We need a different technique to prove that certain queries are not expressible in FO.
- EF games are such a technique.
- We will then also have a glimpse beyond FO to MSO.

Inexpressibility via Compactness Theorem

Theorem (Compactness)

Let Φ be an infinite set of FO sentences and suppose that every finite subset of Φ is satisfiable. Then also Φ is satisfiable.

Definition

Property CONNECTED: Does there exist a (finite) path between any two nodes u, v in a given (possibly infinite) graph?

Theorem

CONNECTED is not expressible in FO, i.e., there does not exist an FO sentence ψ , s.t. for every structure \mathcal{G} representing a graph, the following equivalence holds:

Graph \mathcal{G} is connected iff $\mathcal{G} \models \psi$.

Proof

Assume to the contrary that there exists an FO-formula ψ which expresses CONNECTED. We derive a contradiction as follows.

- 1 Extend the vocabulary of graphs by two constants c_1 and c_2 and consider the set of formulae $\Phi = \{\psi\} \cup \{\phi_n \mid n \geq 1\}$ with

$$\phi_n := \neg \exists x_1 \dots \exists x_n \ x_1 = c_1 \wedge x_n = c_2 \wedge \bigwedge_{1 \leq i \leq n-1} E(x_i, x_{i+1}).$$

(“There does not exist a path of length $n - 1$ between c_1 and c_2 ”.)

Proof

Assume to the contrary that there exists an FO-formula ψ which expresses CONNECTED. We derive a contradiction as follows.

- 1 Extend the vocabulary of graphs by two constants c_1 and c_2 and consider the set of formulae $\Phi = \{\psi\} \cup \{\phi_n \mid n \geq 1\}$ with

$$\phi_n := \neg \exists x_1 \dots \exists x_n x_1 = c_1 \wedge x_n = c_2 \wedge \bigwedge_{1 \leq i \leq n-1} E(x_i, x_{i+1}).$$

(“There does not exist a path of length $n - 1$ between c_1 and c_2 ”.)

- 2 Clearly, Φ is unsatisfiable.

Proof

Assume to the contrary that there exists an FO-formula ψ which expresses CONNECTED. We derive a contradiction as follows.

- 1 Extend the vocabulary of graphs by two constants c_1 and c_2 and consider the set of formulae $\Phi = \{\psi\} \cup \{\phi_n \mid n \geq 1\}$ with

$$\phi_n := \neg \exists x_1 \dots \exists x_n \ x_1 = c_1 \wedge x_n = c_2 \wedge \bigwedge_{1 \leq i \leq n-1} E(x_i, x_{i+1}).$$

(“There does not exist a path of length $n - 1$ between c_1 and c_2 ”.)

- 2 Clearly, Φ is unsatisfiable.
- 3 Consider an arbitrary, finite subset Φ_0 of Φ . There exists n_{\max} , s.t. $\phi_m \notin \Phi_0$ for all $m > n_{\max}$.

Proof

Assume to the contrary that there exists an FO-formula ψ which expresses CONNECTED. We derive a contradiction as follows.

- 1 Extend the vocabulary of graphs by two constants c_1 and c_2 and consider the set of formulae $\Phi = \{\psi\} \cup \{\phi_n \mid n \geq 1\}$ with

$$\phi_n := \neg \exists x_1 \dots \exists x_n \ x_1 = c_1 \wedge x_n = c_2 \wedge \bigwedge_{1 \leq i \leq n-1} E(x_i, x_{i+1}).$$

(“There does not exist a path of length $n - 1$ between c_1 and c_2 ”.)

- 2 Clearly, Φ is unsatisfiable.
- 3 Consider an arbitrary, finite subset Φ_0 of Φ . There exists n_{\max} , s.t. $\phi_m \notin \Phi_0$ for all $m > n_{\max}$.
- 4 Φ_0 is satisfiable: indeed, a single path of length $n_{\max} + 1$ (where we interpret c_1 and c_2 as the endpoints of this path) satisfies Φ_0 .

Proof

Assume to the contrary that there exists an FO-formula ψ which expresses CONNECTED. We derive a contradiction as follows.

- 1 Extend the vocabulary of graphs by two constants c_1 and c_2 and consider the set of formulae $\Phi = \{\psi\} \cup \{\phi_n \mid n \geq 1\}$ with

$$\phi_n := \neg \exists x_1 \dots \exists x_n \ x_1 = c_1 \wedge x_n = c_2 \wedge \bigwedge_{1 \leq i \leq n-1} E(x_i, x_{i+1}).$$

(“There does not exist a path of length $n - 1$ between c_1 and c_2 ”.)

- 2 Clearly, Φ is unsatisfiable.
- 3 Consider an arbitrary, finite subset Φ_0 of Φ . There exists n_{\max} , s.t. $\phi_m \notin \Phi_0$ for all $m > n_{\max}$.
- 4 Φ_0 is satisfiable: indeed, a single path of length $n_{\max} + 1$ (where we interpret c_1 and c_2 as the endpoints of this path) satisfies Φ_0 .
- 5 By the Compactness Theorem, Φ is satisfiable, which contradicts the observation (2) above. Hence, ψ cannot exist. □

Compactness over Finite Models

Question.

Does the theorem also establish that connectedness of **finite graphs** is FO inexpressible?
The answer is “no”!

Compactness over Finite Models

Question.

Does the theorem also establish that connectedness of **finite graphs** is FO inexpressible?
The answer is “no”!

Proposition

Compactness fails over finite models, i.e., there exists a set Φ of FO sentences with the following properties:

- every finite subset of Φ has a **finite** model and
- Φ has no **finite** model.

Compactness over Finite Models

Proof

Consider the set $\Phi = \{d_n \mid n \geq 2\}$ with $d_n := \exists x_1 \dots \exists x_n \bigwedge_{i \neq j} x_i \neq x_j$,
i.e., $d_n \Leftrightarrow$ there exist at least n pairwise distinct elements.

Clearly, every finite subset $\Phi_0 = \{d_{i_1}, \dots, d_{i_k}\}$ of Φ has a finite model: just take a set whose cardinality exceeds $\max(\{i_1, \dots, i_k\})$.

However, Φ does not have a finite model. □

Rules of the EF game

Rules of the EF game

- Two players: Spoiler S , Duplicator D .
- “Game board”: Two structures of the same schema.
- Players move alternately; Spoiler starts (like in chess).
- The number of moves k to be played is fixed in advance (differently from chess).
- Tokens $S_1, \dots, S_k, D_1, \dots, D_k$.

Rules of the EF game

- Two players: Spoiler S , Duplicator D .
- “Game board”: Two structures of the same schema.
- Players move alternatingly; Spoiler starts (like in chess).
- The number of moves k to be played is fixed in advance (differently from chess).
- Tokens $S_1, \dots, S_k, D_1, \dots, D_k$.
- In the i -th move, Spoiler first selects a structure and places token S_i on a domain element of that structure. Next, Duplicator places token D_i on an arbitrary domain element of the other structure. (That’s one move, not two.)

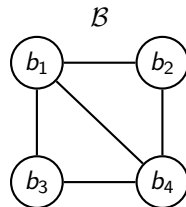
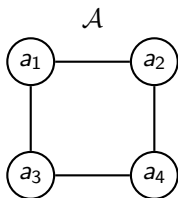
Rules of the EF game

- Two players: Spoiler S , Duplicator D .
- “Game board”: Two structures of the same schema.
- Players move alternatingly; Spoiler starts (like in chess).
- The number of moves k to be played is fixed in advance (differently from chess).
- Tokens $S_1, \dots, S_k, D_1, \dots, D_k$.
- In the i -th move, Spoiler first selects a structure and places token S_i on a domain element of that structure. Next, Duplicator places token D_i on an arbitrary domain element of the other structure. (That’s one move, not two.)
- Spoiler may choose its structure anew in each move. Duplicator always has to answer in the other structure.
- A token, once placed, cannot be (re)moved.
- The winning condition follows a bit later.

Notation from Finite Model Theory

- \mathcal{A}, \mathcal{B} denote structures (= databases),
- $|\mathcal{A}|$ is the domain of a structure \mathcal{A} ,
- $E^{\mathcal{A}}$ is the relation E of a structure \mathcal{A} .

A game run with $k = 3$



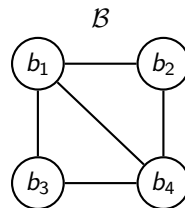
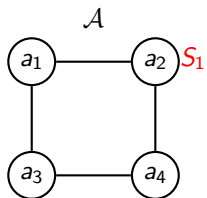
$E^{\mathcal{A}}$		
	a_1	a_2
	a_2	a_1
	\vdots	\vdots
	a_4	a_3

$ \mathcal{A} $	
	a_1
	a_2
	a_3
	a_4

$E^{\mathcal{B}}$		
	b_1	b_2
	b_2	b_1
	\vdots	\vdots
	b_4	b_3
	b_1	b_4
	b_4	b_1

$ \mathcal{B} $	
	b_1
	b_2
	b_3
	b_4

A game run with $k = 3$



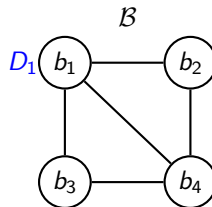
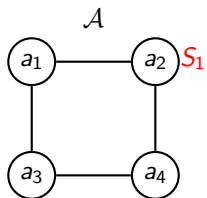
$E^{\mathcal{A}}$		
	a_1	a_2
	a_2	a_1
	\vdots	\vdots
	a_4	a_3

$ \mathcal{A} $	
	a_1
S_1	a_2
	a_3
	a_4

$E^{\mathcal{B}}$		
	b_1	b_2
	b_2	b_1
	\vdots	\vdots
	b_4	b_3
	b_1	b_4
	b_4	b_1

$ \mathcal{B} $	
	b_1
	b_2
	b_3
	b_4

A game run with $k = 3$



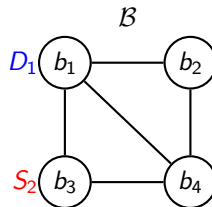
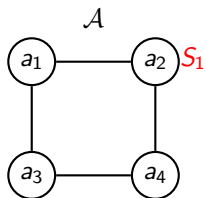
$E^{\mathcal{A}}$		
	a_1	a_2
	a_2	a_1
	\vdots	\vdots
	a_4	a_3

$ \mathcal{A} $	
	a_1
S_1	a_2
	a_3
	a_4

$E^{\mathcal{B}}$		
	b_1	b_2
	b_2	b_1
	\vdots	\vdots
	b_4	b_3
	b_1	b_4
	b_4	b_1

$ \mathcal{B} $	
D_1	b_1
	b_2
	b_3
	b_4

A game run with $k = 3$



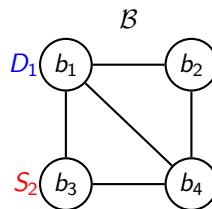
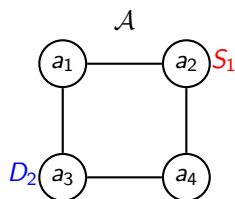
$E^{\mathcal{A}}$		
	a_1	a_2
	a_2	a_1
	\vdots	\vdots
	a_4	a_3

$ \mathcal{A} $	
	a_1
S_1	a_2
	a_3
	a_4

$E^{\mathcal{B}}$		
	b_1	b_2
	b_2	b_1
	\vdots	\vdots
	b_4	b_3
	b_1	b_4
	b_4	b_1

$ \mathcal{B} $	
D_1	b_1
	b_2
S_2	b_3
	b_4

A game run with $k = 3$



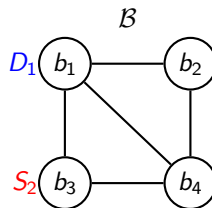
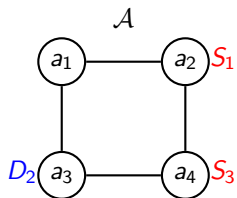
E^A		
	a_1	a_2
	a_2	a_1
	\vdots	\vdots
	a_4	a_3

$ \mathcal{A} $	
	a_1
S_1	a_2
D_2	a_3
	a_4

E^B		
	b_1	b_2
	b_2	b_1
	\vdots	\vdots
	b_4	b_3
	b_1	b_4
	b_4	b_1

$ \mathcal{B} $	
D_1	b_1
	b_2
S_2	b_3
	b_4

A game run with $k = 3$



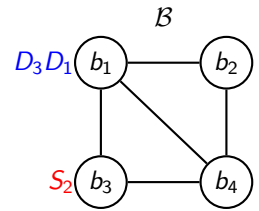
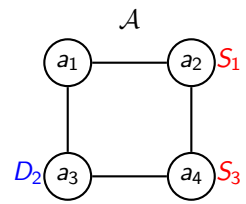
$E^{\mathcal{A}}$		
	a_1	a_2
	a_2	a_1
	\vdots	\vdots
	a_4	a_3

$ \mathcal{A} $	
	a_1
S_1	a_2
D_2	a_3
S_3	a_4

$E^{\mathcal{B}}$		
	b_1	b_2
	b_2	b_1
	\vdots	\vdots
	b_4	b_3
	b_1	b_4
	b_4	b_1

$ \mathcal{B} $	
D_1	b_1
	b_2
S_2	b_3
	b_4

A game run with $k = 3$



E^A		
	a_1	a_2
	a_2	a_1
	\vdots	\vdots
	a_4	a_3

$ A $	
	a_1
S_1	a_2
D_2	a_3
S_3	a_4

E^B		
	b_1	b_2
	b_2	b_1
	\vdots	\vdots
	b_4	b_3
	b_1	b_4
	b_4	b_1

$ B $	
D_3D_1	b_1
	b_2
S_2	b_3
	b_4

Partial isomorphisms

Definition

- $\mathcal{A}|_S$: Restriction of a structure \mathcal{A} to the subdomain $S \subseteq |\mathcal{A}|$. Same schema; for each relation $R^{\mathcal{A}}$:

$$R^{\mathcal{A}|_S} := \{ \langle a_1, \dots, a_k \rangle \in R^{\mathcal{A}} \mid a_1, \dots, a_k \in S \}.$$

- A partial function $\theta : |\mathcal{A}| \rightarrow |\mathcal{B}|$ is a **partial isomorphism** from \mathcal{A} to \mathcal{B} if and only if θ is an isomorphism from $\mathcal{A}|_{\text{dom}(\theta)}$ to $\mathcal{B}|_{\text{rng}(\theta)}$.
- This definition assumes that the schema of \mathcal{A} does not contain any constants but is purely relational.

Partial isomorphisms

Example

R^A			
	1	2	3
	2	1	4

$ A $	
	1
	2
	3
	4

R^B			
	a	b	c
	a	b	d

$ B $	
	a
	b
	c
	d

Partial isomorphisms

Example

$R^{\mathcal{A}}$			
	1	2	3
	2	1	4

$ \mathcal{A} $	
	1
	2
	3
	4

$R^{\mathcal{B}}$			
	a	b	c
	a	b	d

$ \mathcal{B} $	
	a
	b
	c
	d

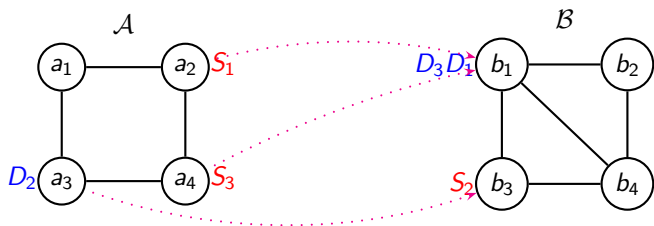
$$\theta : \begin{cases} 1 \mapsto a \\ 2 \mapsto b \\ 3 \mapsto c \end{cases}$$

$R^{\mathcal{A}} _{\{1,2,3\}}$			
	1	2	3

$R^{\mathcal{B}} _{\{a,b,c\}}$			
	a	b	c

θ is a partial isomorphism.

Partial isomorphisms

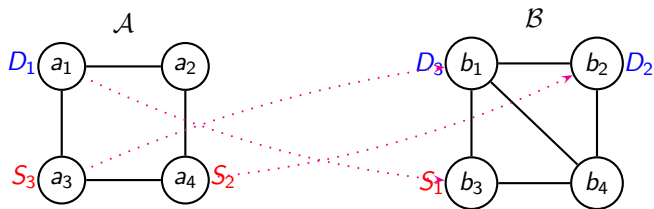


The partial function $\theta : |\mathcal{A}| \rightarrow |\mathcal{B}|$ with

$$\theta : \begin{cases} a_2 \mapsto b_1 \\ a_3 \mapsto b_3 \\ a_4 \mapsto b_1 \end{cases}$$

is **not** a partial isomorphism: $\mathcal{A} \models a_2 \neq a_4$, $\mathcal{B} \not\models \theta(a_2) \neq \theta(a_4)$.

Partial isomorphisms

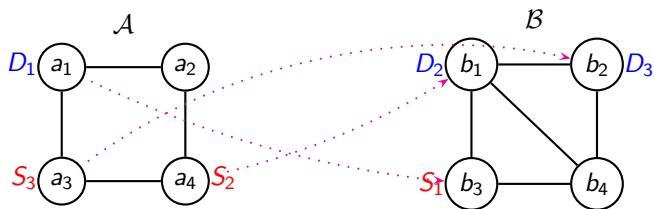


The partial function $\theta : |\mathcal{A}| \rightarrow |\mathcal{B}|$ with

$$\theta : \begin{cases} a_1 \mapsto b_3 \\ a_4 \mapsto b_2 \\ a_3 \mapsto b_1 \end{cases}$$

is a partial isomorphism.

Partial isomorphisms



The partial function $\theta : |\mathcal{A}| \rightarrow |\mathcal{B}|$ with

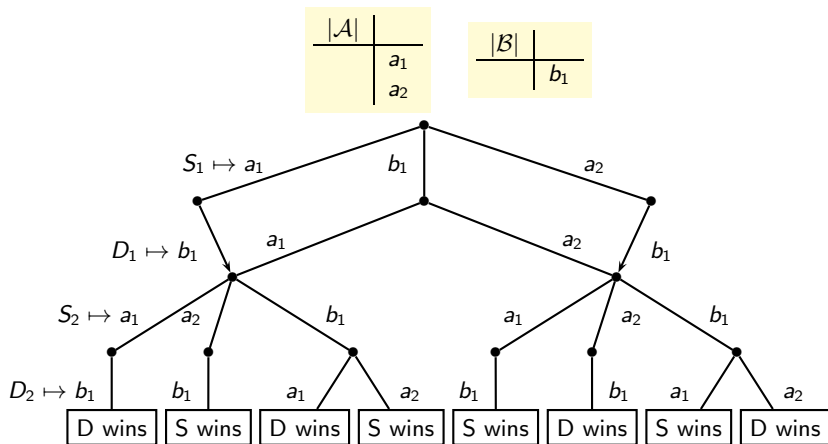
$$\theta : \begin{cases} a_1 \mapsto b_3 \\ a_4 \mapsto b_1 \\ a_3 \mapsto b_2 \end{cases}$$

is not a partial isomorphism: $\mathcal{A} \models E(a_1, a_3)$, $\mathcal{B} \not\models E(\theta(a_1), \theta(a_3))$

Winning Condition

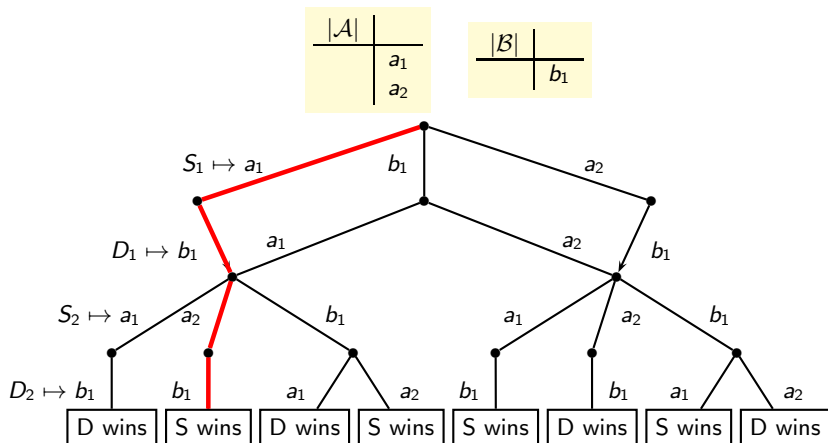
- Duplicator wins a **run** of the game if the mapping between elements of the two structures defined by the game run is a partial isomorphism.
- Otherwise, Spoiler wins.
- A player has a **winning strategy** for k moves if s/he can win the k -move game no matter how the other player plays.
- Winning strategies can be fully described by **finite game trees**.
- There is always either a winning strategy for Spoiler or for Duplicator.
- Notation $\mathcal{A} \sim_k \mathcal{B}$: There is a winning strategy for Duplicator for k -move games.
- Notation $\mathcal{A} \approx_k \mathcal{B}$: There is a winning strategy for Spoiler for k -move games.

Game tree of depth 2



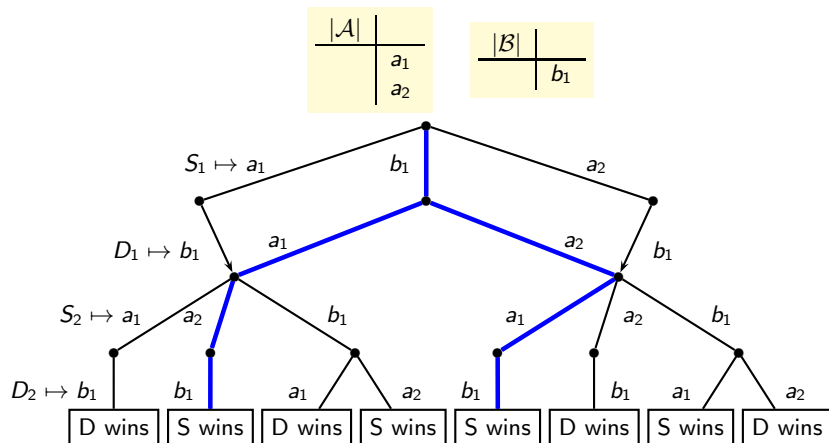
(Here, subtrees are used multiple times to save space – the game tree really is a tree, not a DAG.)

Game tree of depth 2; Spoiler has a winning strategy



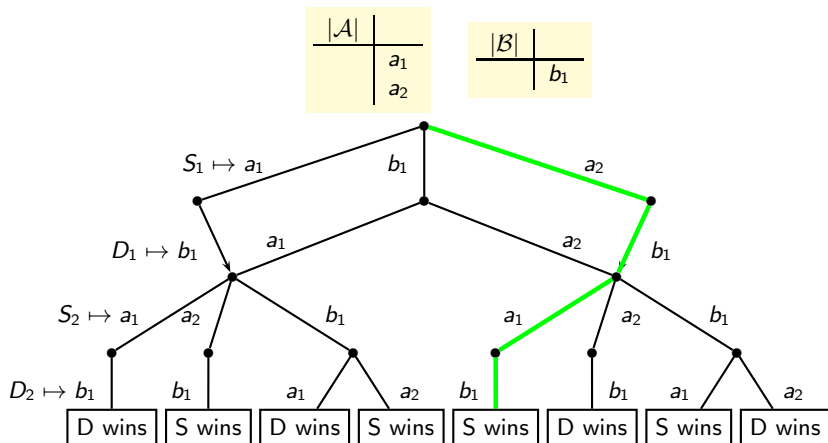
1st winning strategy for Spoiler in two moves ($\mathcal{A} \not\approx_2 \mathcal{B}$)

Game tree of depth 2; Spoiler has a winning strategy



2nd winning strategy for Spoiler in two moves ($\mathcal{A} \approx_2 \mathcal{B}$)

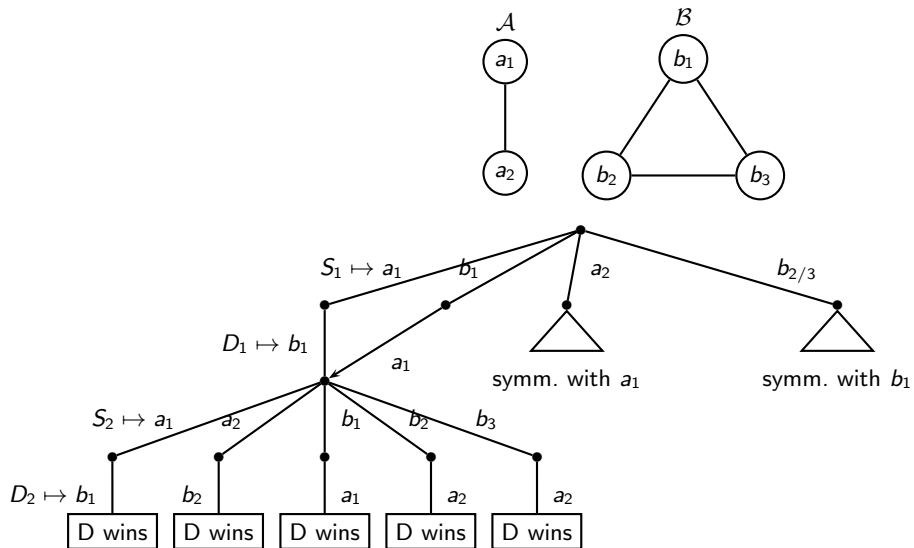
Game tree of depth 2; Spoiler has a winning strategy



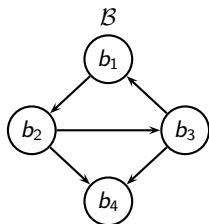
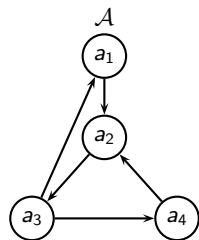
3rd winning strategy for Spoiler in two moves ($\mathcal{A} \approx_2 \mathcal{B}$)

Examples

Example 1: $\mathcal{A} \sim_2 \mathcal{B}$ – Duplicator has a winning strategy

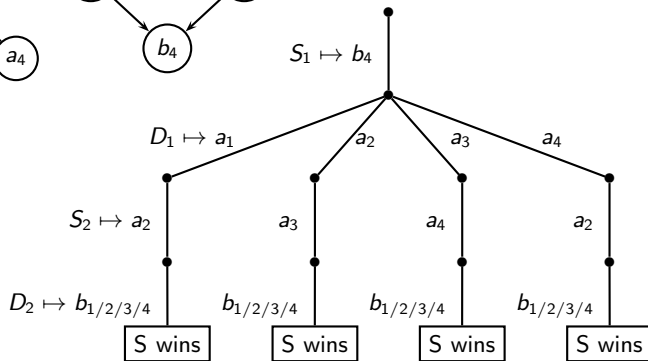


Example 2: $\mathcal{A} \not\approx_2 \mathcal{B}$ – Spoiler has a winning strategy

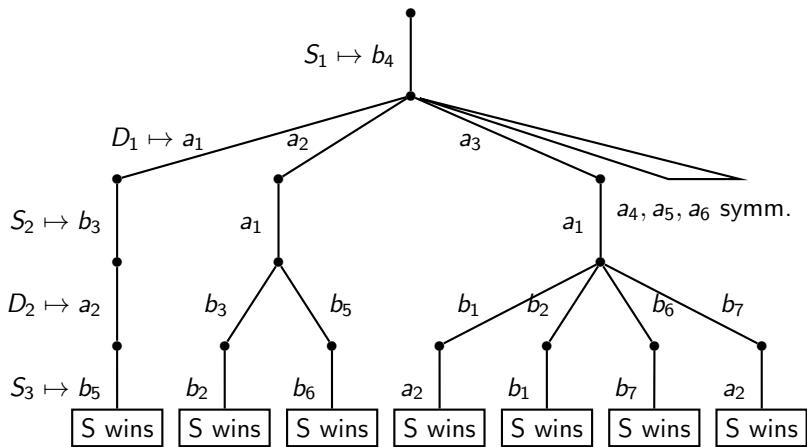
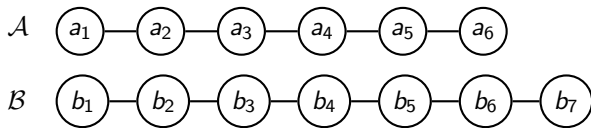


$$\mathcal{B} \models \exists x_1 \forall x_2 \neg E(x_1, x_2)$$

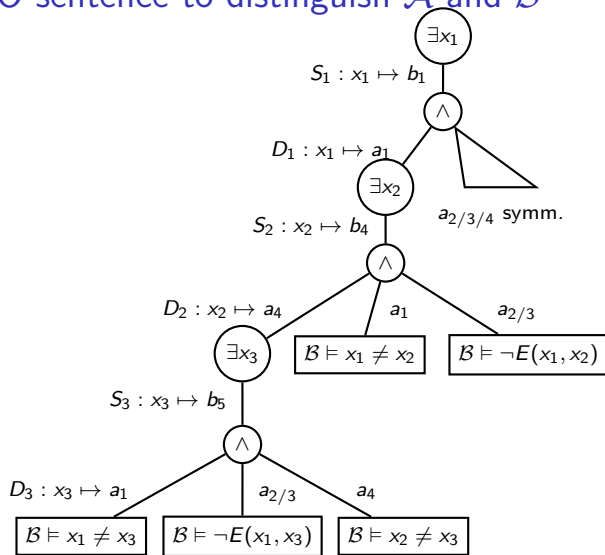
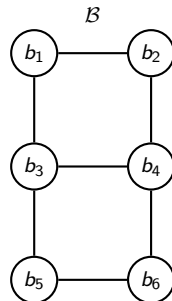
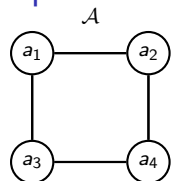
$$\mathcal{A} \not\models \exists x_1 \forall x_2 \neg E(x_1, x_2)$$



Example 3: $A \approx_3 B$



Example 4: an FO sentence to distinguish \mathcal{A} and \mathcal{B}



$\phi = \exists x_1 \exists x_2 (\exists x_3 x_1 \neq x_3 \wedge \neg E(x_1, x_3) \wedge x_2 \neq x_3) \wedge x_1 \neq x_2 \wedge \neg E(x_1, x_2)$

$\mathcal{B} \models \phi, \mathcal{A} \not\models \phi.$

An FO sentence that distinguishes between \mathcal{A} and \mathcal{B}

- Input: a winning strategy for Spoiler.
- We construct a sentence ϕ which is true on the structure on which Spoiler puts the first token (this structure is initially the “current structure”) and is false on the other structure.
- Spoiler’s choice of structure in move i decides the i -th quantifier:
 - $\exists x_i$ if $i = 1$ or if Spoiler chooses the same structure that she has chosen in move $i - 1$ and
 - $\neg \exists x_i$ if Spoiler does not choose the same structure as in the previous move. We switch the current structure.
- The alternative answers of Duplicator are combined using conjunctions.
- Each leaf of the strategy tree corresponds to a literal (i.e., a possibly negated atomic formula) that is true on the current structure and false on the other structure. Such a literal exists because Spoiler wins on the leaf, i.e., a mapping is forced that is not a partial isomorphism.

EF Theorem

Main theorem

Definition

We write $\mathcal{A} \equiv_k \mathcal{B}$ for two structures \mathcal{A} and \mathcal{B} if and only if the following is true for all FO sentences ϕ of quantifier rank k :

$$\mathcal{A} \models \phi \iff \mathcal{B} \models \phi.$$

Main theorem

Definition

We write $\mathcal{A} \equiv_k \mathcal{B}$ for two structures \mathcal{A} and \mathcal{B} if and only if the following is true for all FO sentences ϕ of quantifier rank k :

$$\mathcal{A} \models \phi \iff \mathcal{B} \models \phi.$$

Theorem (Ehrenfeucht, Fraïssé)

Given two structures \mathcal{A} and \mathcal{B} and an integer k . Then the following statements are equivalent:

- $\mathcal{A} \equiv_k \mathcal{B}$, i.e., \mathcal{A} and \mathcal{B} cannot be distinguished by FO sentences of quantifier rank k .
- $\mathcal{A} \sim_k \mathcal{B}$, i.e., Duplicator has a winning strategy for the k -move EF game.

Proof of the theorem of Ehrenfeucht and Fraïssé

Proof

- We have provided a method for turning a winning strategy for Spoiler into an FO sentence that distinguishes \mathcal{A} and \mathcal{B} .

Proof of the theorem of Ehrenfeucht and Fraïssé

Proof

- We have provided a method for turning a winning strategy for Spoiler into an FO sentence that distinguishes \mathcal{A} and \mathcal{B} .
- From this it follows immediately that

$$\mathcal{A} \approx_k \mathcal{B} \Rightarrow \mathcal{A} \not\equiv_k \mathcal{B}$$

and thus

$$\mathcal{A} \equiv_k \mathcal{B} \Rightarrow \mathcal{A} \sim_k \mathcal{B}.$$

Proof of the theorem of Ehrenfeucht and Fraïssé

Proof

- We have provided a method for turning a winning strategy for Spoiler into an FO sentence that distinguishes \mathcal{A} and \mathcal{B} .
- From this it follows immediately that

$$\mathcal{A} \approx_k \mathcal{B} \Rightarrow \mathcal{A} \not\equiv_k \mathcal{B}$$

and thus

$$\mathcal{A} \equiv_k \mathcal{B} \Rightarrow \mathcal{A} \sim_k \mathcal{B}.$$

- We still have to prove the other direction ($\mathcal{A} \not\equiv_k \mathcal{B} \Rightarrow \mathcal{A} \approx_k \mathcal{B}$).

Proof of the theorem of Ehrenfeucht and Fraïssé

Proof

- We have provided a method for turning a winning strategy for Spoiler into an FO sentence that distinguishes \mathcal{A} and \mathcal{B} .
- From this it follows immediately that

$$\mathcal{A} \approx_k \mathcal{B} \Rightarrow \mathcal{A} \not\equiv_k \mathcal{B}$$

and thus

$$\mathcal{A} \equiv_k \mathcal{B} \Rightarrow \mathcal{A} \sim_k \mathcal{B}.$$

- We still have to prove the other direction ($\mathcal{A} \not\equiv_k \mathcal{B} \Rightarrow \mathcal{A} \approx_k \mathcal{B}$).
- Proof idea: we can construct a winning strategy for Spoiler for the k -move EF game from a formula ϕ of quantifier rank k with $\mathcal{A} \models \phi$ and $\mathcal{B} \models \neg\phi$. □

Proof of the theorem of Ehrenfeucht and Fraïssé

Lemma

Given a formula ϕ with $k = \text{qr}(\phi)$ and $\text{free}(\phi) = \{x_1, \dots, x_\ell\}$ for $\ell \geq 0$.

If $\mathcal{A} \models \phi[a_{i_1}, \dots, a_{i_\ell}]$ and $\mathcal{B} \models (\neg\phi)[b_{j_1}, \dots, b_{j_\ell}]$ then Spoiler has a winning strategy in the $k + \ell$ round EF game starting with $a_{i_1} \mapsto b_{j_1}, \dots, a_{i_\ell} \mapsto b_{j_\ell}$.

Proof of the theorem of Ehrenfeucht and Fraïssé

Lemma

Given a formula ϕ with $k = \text{qr}(\phi)$ and $\text{free}(\phi) = \{x_1, \dots, x_\ell\}$ for $\ell \geq 0$.

If $\mathcal{A} \models \phi[a_{i_1}, \dots, a_{i_\ell}]$ and $\mathcal{B} \models (\neg\phi)[b_{j_1}, \dots, b_{j_\ell}]$ then Spoiler has a winning strategy in the $k + \ell$ round EF game starting with $a_{i_1} \mapsto b_{j_1}, \dots, a_{i_\ell} \mapsto b_{j_\ell}$.

Proof

W.l.o.g., we assume that ϕ contains no universal quantification. This can be easily achieved by replacing every subformula of the form $\forall x \psi$ in ϕ by $\neg\exists x \neg\psi$. The proof proceeds by structural induction on ϕ :

- If ϕ is an atomic formula, then $k = \text{qr}(\phi) = 0$. Clearly, in this case, the Spoiler wins in ℓ rounds with $a_{i_1} \mapsto b_{j_1}, \dots, a_{i_\ell} \mapsto b_{j_\ell}$.
- If $\phi = \neg\psi$, then we have $\mathcal{B} \models \psi[b_{j_1}, \dots, b_{j_\ell}]$ and $\mathcal{A} \models (\neg\psi)[a_{i_1}, \dots, a_{i_\ell}]$. Hence, by the induction hypothesis, the Spoiler has a winning strategy in the $k + \ell$ round EF game starting with $b_{j_1} \mapsto a_{i_1}, \dots, b_{j_\ell} \mapsto a_{i_\ell}$.

Proof of the theorem of Ehrenfeucht and Fraïssé

Proof (continued)

- If $\phi = \psi_1 \wedge \psi_2$ then $\neg\phi = (\neg\psi_1) \vee (\neg\psi_2)$. By $\mathcal{B} \models (\neg\phi)[b_{j_1}, \dots, b_{j_\ell}]$, for at least one $i \in \{1, 2\}$, $\mathcal{B} \models (\neg\psi_i)[b_{j_1}, \dots, b_{j_\ell}]$ holds. Moreover, by $\mathcal{A} \models \phi[a_{i_1}, \dots, a_{i_\ell}]$ also $\mathcal{A} \models \psi_i[a_{i_1}, \dots, a_{i_\ell}]$ holds. Hence, by the induction hypothesis, the Spoiler has a winning strategy in the $k + \ell$ round EF game starting with $a_{j_1} \mapsto b_{i_1}, \dots, a_{j_\ell} \mapsto b_{i_\ell}$.
- If $\phi = \psi_1 \vee \psi_2$ then $\neg\phi = (\neg\psi_1) \wedge (\neg\psi_2)$; as above.
- $\phi = \exists x_{\ell+1} \psi$: There exists an element $a_{i_{\ell+1}}$ such that $\mathcal{A} \models \psi[a_{i_1}, \dots, a_{i_{\ell+1}}]$ but for all $b_{j_{\ell+1}}$, $\mathcal{B} \models (\neg\psi)[b_{j_1}, \dots, b_{j_{\ell+1}}]$. If the induction hypothesis holds for ψ then it also holds for ϕ . □

Proof of the theorem of Ehrenfeucht and Fraïssé

Proof (continued)

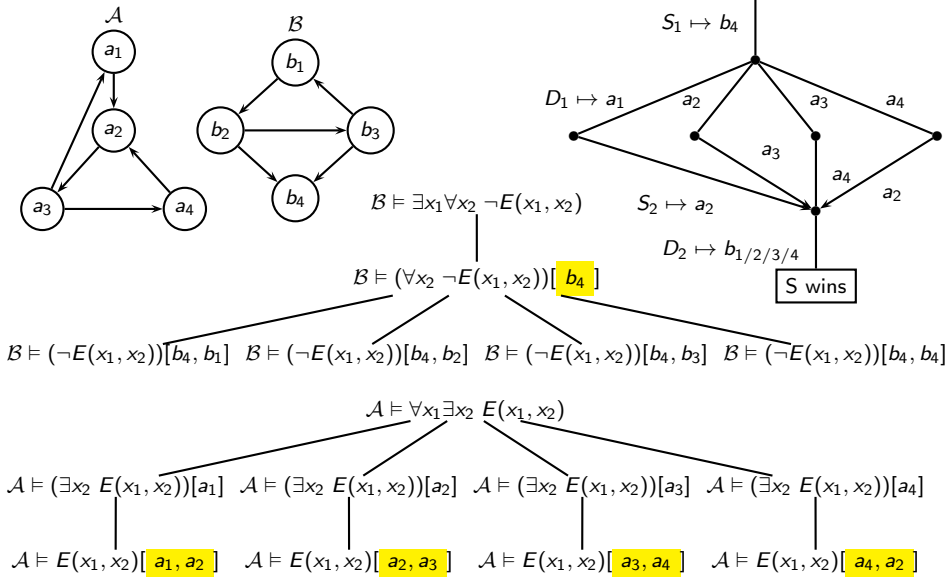
- If $\phi = \psi_1 \wedge \psi_2$ then $\neg\phi = (\neg\psi_1) \vee (\neg\psi_2)$. By $\mathcal{B} \models (\neg\phi)[b_{j_1}, \dots, b_{j_\ell}]$, for at least one $i \in \{1, 2\}$, $\mathcal{B} \models (\neg\psi_i)[b_{j_1}, \dots, b_{j_\ell}]$ holds. Moreover, by $\mathcal{A} \models \phi[a_{i_1}, \dots, a_{i_\ell}]$ also $\mathcal{A} \models \psi_i[a_{i_1}, \dots, a_{i_\ell}]$ holds. Hence, by the induction hypothesis, the Spoiler has a winning strategy in the $k + \ell$ round EF game starting with $a_{j_1} \mapsto b_{i_1}, \dots, a_{j_\ell} \mapsto b_{i_\ell}$.
- If $\phi = \psi_1 \vee \psi_2$ then $\neg\phi = (\neg\psi_1) \wedge (\neg\psi_2)$; as above.
- $\phi = \exists x_{\ell+1} \psi$: There exists an element $a_{i_{\ell+1}}$ such that $\mathcal{A} \models \psi[a_{i_1}, \dots, a_{i_{\ell+1}}]$ but for all $b_{j_{\ell+1}}$, $\mathcal{B} \models (\neg\psi)[b_{j_1}, \dots, b_{j_{\ell+1}}]$. If the induction hypothesis holds for ψ then it also holds for ϕ . □

From the above lemma, by setting $\ell = 0$, we immediately get:

Lemma

If $\mathcal{A} \not\equiv_k \mathcal{B}$ then $\mathcal{A} \approx_k \mathcal{B}$.

Construction: Winning strategy for Spoiler from sentence



Inexpressibility proofs

Inexpressibility proofs

- Expressibility of a query in FO means that there is an FO formula equivalent to that query;
- if there is such a formula, it must have some quantifier rank.
- We thus get the following methodology for proving inexpressibility:

Inexpressibility proofs

- Expressibility of a query in FO means that there is an FO formula equivalent to that query;
- if there is such a formula, it must have some quantifier rank.
- We thus get the following methodology for proving inexpressibility:

Theorem (Methodology theorem)

Given a Boolean query Q . There is **no** FO sentence that expresses Q if and only if there are, for each k , structures $\mathcal{A}_k, \mathcal{B}_k$ such that

- $\mathcal{A}_k \models Q$,
- $\mathcal{B}_k \not\models Q$ and
- $\mathcal{A}_k \sim_k \mathcal{B}_k$.

Inexpressibility proofs

- Expressibility of a query in FO means that there is an FO formula equivalent to that query;
- if there is such a formula, it must have some quantifier rank.
- We thus get the following methodology for proving inexpressibility:

Theorem (Methodology theorem)

*Given a Boolean query Q . There is **no** FO sentence that expresses Q if and only if there are, for each k , structures $\mathcal{A}_k, \mathcal{B}_k$ such that*

- $\mathcal{A}_k \models Q$,
- $\mathcal{B}_k \not\models Q$ and
- $\mathcal{A}_k \sim_k \mathcal{B}_k$.

Thus, EF games provide a **complete methodology** for constructing inexpressibility proofs. To prove inexpressibility, we only have to

- construct suitable structures \mathcal{A}_k and \mathcal{B}_k and
- prove that $\mathcal{A}_k \sim_k \mathcal{B}_k$. (This is usually the difficult part.)

Example: Inexpressibility of the parity query

Definition (parity query)

Given a structure \mathcal{A} with empty schema (i.e., only $|\mathcal{A}|$ is given). Question: Does $|\mathcal{A}|$ have an even number of elements?

- Construction of the structures \mathcal{A}_n and \mathcal{B}_n for arbitrary n :

$$|\mathcal{A}_n| := \{a_1, \dots, a_n\} \quad |\mathcal{B}_n| := \{b_1, \dots, b_{n+1}\}$$

Lemma

$\mathcal{A}_n \sim_k \mathcal{B}_n$ for all $k \leq n$.

(This is shown on the next slide.)

Example: Inexpressibility of the parity query

Definition (parity query)

Given a structure \mathcal{A} with empty schema (i.e., only $|\mathcal{A}|$ is given). Question: Does $|\mathcal{A}|$ have an even number of elements?

- Construction of the structures \mathcal{A}_n and \mathcal{B}_n for arbitrary n :

$$|\mathcal{A}_n| := \{a_1, \dots, a_n\} \quad |\mathcal{B}_n| := \{b_1, \dots, b_{n+1}\}$$

Lemma

$\mathcal{A}_n \sim_k \mathcal{B}_n$ for all $k \leq n$.

(This is shown on the next slide.)

- On the other hand, $\mathcal{A}_n \models \text{Parity}$ if and only if $\mathcal{B}_n \not\models \text{Parity}$.
- It thus follows from the methodology theorem that **parity is not expressible in FO**.

Example: Inexpressibility of the parity query

Lemma

$\mathcal{A}_n \sim_k \mathcal{B}_n$ for all $k \leq n$.

Example: Inexpressibility of the parity query

Lemma

$\mathcal{A}_n \sim_k \mathcal{B}_n$ for all $k \leq n$.

Proof

We construct a winning strategy for Duplicator. This time no strategy trees are explicitly shown, but a general construction is given.

We handle the case in which Spoiler plays on \mathcal{A}_n . The other direction is analogous. If $S_i \mapsto a$ then

- $D_i \mapsto b$ where b is a new element of $|\mathcal{B}_n|$ if a has not been played on yet (=no token was put on it);
- If, for some $j < i$, $S_j \mapsto a, D_j \mapsto b'$ or $S_j \mapsto b', D_j \mapsto a$ was played then $D_i \mapsto b'$.

Over k moves, we only construct partial isomorphisms in this way and obtain a winning strategy for Duplicator. □

Undirected Paths

Theorem

Let L_1, L_2 be undirected paths of length $\geq 2^k$. Then $L_1 \sim_k L_2$ holds.

Undirected Paths

Theorem

Let L_1, L_2 be undirected paths of length $\geq 2^k$. Then $L_1 \sim_k L_2$ holds.

Proof Idea

- Consider the nodes in L_1 and L_2 arranged from left to right, s.t. we have a linear order on the nodes.
- Add nodes “min” on the left and “max” on the right of each path.
- For every $i \in \{0, \dots, k\}$, consider the i -round EF-game and assume that before the actual game, the additional nodes “min” and “max” are played in the two graphs.
- Hence, after i moves, the players have chosen vectors $\vec{a} = (a_{-1}, a_0, a_1, \dots, a_i)$ in L_1 and $\vec{b} = (b_{-1}, b_0, b_1, \dots, b_i)$ in L_2 with $a_{-1} = b_{-1} = \text{“min”}$ and $a_0 = b_0 = \text{“max”}$.
- As usual, we define the distance $d(u, v)$ between two nodes u and v as the length of the shortest path between u and v .

Proof (continued)

A winning strategy for the Duplicator can be obtained as follows:

The Duplicator can play in such a way that for every $j, l \in \{-1, \dots, i\}$, the following conditions hold:

- 1 if $d(a_j, a_l) < 2^{k-i}$, then $d(a_j, a_l) = d(b_j, b_l)$;
- 2 if $d(a_j, a_l) \geq 2^{k-i}$, then $d(b_j, b_l) \geq 2^{k-i}$;
- 3 $a_j \leq a_l$ if and only if $b_j \leq b_l$

Proof (continued)

A winning strategy for the Duplicator can be obtained as follows:

The Duplicator can play in such a way that for every $j, l \in \{-1, \dots, i\}$, the following conditions hold:

- 1 if $d(a_j, a_l) < 2^{k-i}$, then $d(a_j, a_l) = d(b_j, b_l)$;
- 2 if $d(a_j, a_l) \geq 2^{k-i}$, then $d(b_j, b_l) \geq 2^{k-i}$;
- 3 $a_j \leq a_l$ if and only if $b_j \leq b_l$

The claim is proved by induction on i :

$i = 0$. Clear. In particular, we have $d(a_{-1}, a_0) \geq 2^{k-0}$ and $d(b_{-1}, b_0) \geq 2^{k-0}$.

Proof (continued)

A winning strategy for the Duplicator can be obtained as follows:

The Duplicator can play in such a way that for every $j, l \in \{-1, \dots, i\}$, the following conditions hold:

- 1 if $d(a_j, a_l) < 2^{k-i}$, then $d(a_j, a_l) = d(b_j, b_l)$;
- 2 if $d(a_j, a_l) \geq 2^{k-i}$, then $d(b_j, b_l) \geq 2^{k-i}$;
- 3 $a_j \leq a_l$ if and only if $b_j \leq b_l$

The claim is proved by induction on i :

$i = 0$. Clear. In particular, we have $d(a_{-1}, a_0) \geq 2^{k-0}$ and $d(b_{-1}, b_0) \geq 2^{k-0}$.

$i \rightarrow i + 1$. Suppose the spoiler makes the $(i + 1)$ st move in L_1 .

(the case of L_2 is symmetric.)

Case 1. $a_{i+1} = a_j$ for some j . Then the Duplicator chooses $b_{i+1} = b_j$.

Case 2. a_{i+1} is in the interval a_j and a_l for some j, l .

Proof (continued)

Case 2.1. a_{i+1} is “close to” a_j , i.e., $d(a_j, a_{i+1}) < 2^{k-i-1}$.

Then the Duplicator chooses b_{i+1} in the interval b_j and b_l with $d(b_j, b_{i+1}) = d(a_j, a_{i+1})$.

Proof (continued)

Case 2.1. a_{i+1} is “close to” a_j , i.e., $d(a_j, a_{i+1}) < 2^{k-i-1}$.

Then the Duplicator chooses b_{i+1} in the interval b_j and b_l with $d(b_j, b_{i+1}) = d(a_j, a_{i+1})$.

Case 2.2. a_{i+1} is “close to” a_l , i.e., $d(a_{i+1}, a_l) < 2^{k-i-1}$.

Then the Duplicator chooses b_{i+1} in the interval b_j and b_l with $d(b_{i+1}, b_l) = d(a_{i+1}, a_l)$.

Proof (continued)

Case 2.1. a_{i+1} is “close to” a_j , i.e., $d(a_j, a_{i+1}) < 2^{k-i-1}$.

Then the Duplicator chooses b_{i+1} in the interval b_j and b_l with $d(b_j, b_{i+1}) = d(a_j, a_{i+1})$.

Case 2.2. a_{i+1} is “close to” a_l , i.e., $d(a_{i+1}, a_l) < 2^{k-i-1}$.

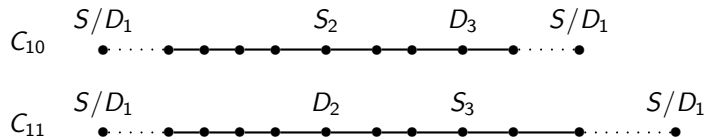
Then the Duplicator chooses b_{i+1} in the interval b_j and b_l with $d(b_{i+1}, b_l) = d(a_{i+1}, a_l)$.

Case 2.3. a_{i+1} is “far away from” both a_j and a_l , i.e., $d(a_j, a_{i+1}) \geq 2^{k-i-1}$ and $d(a_{i+1}, a_l) \geq 2^{k-i-1}$.

Then the Duplicator chooses b_{i+1} in the middle between b_j and b_l . □

Cycles

- (Isolated) undirected cycles C_n : Graphs with nodes $\{v_1, \dots, v_n\}$ and edges $\{(v_1, v_2), (v_2, v_3), \dots, (v_{n-1}, v_n), (v_n, v_1)\}$.
- After the first move, there is one distinguished node in the cycle, the one with token S_1 or D_1 on it.
- We can treat this cycle like a path obtained by cutting the cycle at the distinguished node.



- Theorem. If $n \geq 2^k$, then $C_n \sim_k C_{n+1}$.

2-colorability

Definition

2-colorability: Given a graph, is there a function that maps each node to either “red” or “green” such that no two adjacent nodes have the same color?

Theorem

2-colorability is not expressible in FO.

2-colorability

Definition

2-colorability: Given a graph, is there a function that maps each node to either “red” or “green” such that no two adjacent nodes have the same color?

Theorem

2-colorability is not expressible in FO.

Proof Sketch

For each k ,

- \mathcal{A}_k : C_{2^k} , the cycle of length 2^k .
- \mathcal{B}_k : C_{2^k+1} , the cycle of length $2^k + 1$.
- $\mathcal{A}_k \sim_k \mathcal{B}_k$.
- However, a cycle C_n of length n is 2-colorable iff n is even.

Inexpressibility follows from the EF methodology theorem. □

Acyclicity

From now on, “very long/large” means simply 2^k .

Theorem

Acyclicity is not expressible in FO.

Acyclicity

From now on, “very long/large” means simply 2^k .

Theorem

Acyclicity is not expressible in FO.

Proof Sketch

- \mathcal{A}_k : a very long path.
- \mathcal{B}_k : a very long path plus (disconnected from it) a very large cycle.
- $\mathcal{A}_k \sim_k \mathcal{B}_k$.



Graph reachability

Theorem

Graph reachability from a to b is not expressible in FO.

a , b are constants or are given by an additional unary relation with two entries.

Proof Sketch

- \mathcal{A}_k : a very large cycle in which the nodes a and b are maximally distant.
- \mathcal{B}_k : two very large cycles; a is a node of the first cycle and b a node of the second.
- $\mathcal{A}_k \sim_k \mathcal{B}_k$. □

Remark. The same structures \mathcal{A}_k , \mathcal{B}_k can be used to show that connectedness of a graph is not expressible in FO.

Linear Orders

Definition

A **linear order** is a structure $L = (|L|, <)$, where $<$ is a total order on the elements in $|L|$, that is, $<$ has the following properties:

- irreflexive: $\forall x \neg(x < x)$;
- transitive: $\forall x \forall y \forall z (x < y \wedge y < z) \rightarrow (x < z)$;
- total: $\forall x \forall y (x \neq y) \rightarrow (x < y \vee y < x)$.

Theorem

Let L_1 and L_2 be two (finite) linear orders of length at least 2^k . Then $L_1 \sim_k L_2$ holds.

Proof. By exactly the same idea as for undirected paths.

Theorem

The parity query on linear orders is not expressible in FO.

Further Examples

Theorem

The following Boolean queries are not expressible in FO:

- *Hamiltonicity (does the graph have a Hamilton cycle);*
- *Eulerian Graph (does the graph have a Eulerian cycle, i.e., a round trip that visits each edge of the graph exactly once);*
- *k-Colorability for arbitrary $k \geq 2$;*
- *Existence of a clique of size $\geq n/2$ (with $n =$ number of vertices).*

Learning Objectives

- Rules of EF game
- Winning condition and winning strategies of EF games
- EF Theorem and its proof
- Inexpressibility proofs using the Methodology theorem

Literature

- Phokion Kolaitis, “Combinatorial Games in Finite Model Theory”:
<http://www.cse.ucsc.edu/~kolaitis/talks/esslif.ps> (Slides 1–40)
- Abiteboul, Hull, Vianu, “Foundations of Databases”, Addison-Wesley 1994. Chapter 17.2.
- Libkin, “Elements of Finite Model Theory”, Springer 2004. Chapter 3.
- Ebbinghaus, Flum, “Finite Model Theory”, Springer 1999. Chapter 2.1–2.3.