| 1 | 2 | 3 | 4 | $\Sigma$ | Grade |
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## 6.0/4.0 VU Formale Methoden der Informatik 185.291 March 15, 2024

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Block 1.) Recall the following decision problem from the lecture:

## 3-COLORABILITY(3-COL)

INSTANCE: An undirected graph $G=(V, E)$, where $V$ is the set of vertices and $E$ is the set of edges.

QUESTION: Does there exist a total function $\mu$ from vertices in $V$ to values in $\{1,2,3\}$ such that $\mu\left(v_{1}\right) \neq \mu\left(v_{2}\right)$, for any edge $\left[v_{1}, v_{2}\right] \in E$ ?

Consider now the following decision problem:

## 4-COLORABILITY(4-COL)

INSTANCE: An undirected graph $G=(V, E)$, where $V$ is the set of vertices and $E$ is the set of edges.

QUESTION: Does there exist a total function $\mu$ from vertices in $V$ to values in $\{1,2,3,4\}$ such that $\mu\left(v_{1}\right) \neq \mu\left(v_{2}\right)$, for any edge $\left[v_{1}, v_{2}\right] \in E$ ?
1.a) The following describes a reduction from $\mathbf{3 - C O L}$ to $\mathbf{4}$-COL. Given an arbitrary instance $G=(V, E)$ of $\mathbf{3 - C O L}$, let $G^{\prime}$ be the following graph:

$$
G^{\prime}=(V \cup\{u\}, E \cup\{[v, u] \mid v \in V\}) .
$$

Show that $G$ is a yes-instance of $\mathbf{3 - C O L}$ if and only if $G^{\prime}$ is a yes-instance of $\mathbf{4}$ - COL.
1.b) It is known that 3-COL is NP-complete. With this in mind, please answer the following questions and explain your answers:

- Is 4-COL NP-hard?
- Is 4-COL NP-complete? If so, provide a certificate relation and argue that it is polynomially balanced and polynomially decidable.


## Block 2.)

2.a) Show that $b[j] \doteq f \rightarrow b\langle j \triangleleft f\rangle \doteq b$ is $\mathcal{T}_{A}^{=}$-valid.

Besides the equality axioms, you have the following ones for the arrays.

$$
\begin{aligned}
& \forall a \forall i \forall j(i \doteq j \rightarrow a[i] \doteq a[j]) \\
& \forall a \forall v \forall i \forall j(i \doteq j \rightarrow a\langle i \triangleleft v\rangle[j] \doteq v) \\
& \forall a \forall v \forall i \forall j(i \neq j \rightarrow a\langle i \triangleleft v\rangle[j] \doteq a[j]) \\
& \forall a \forall b((\forall j a[j] \doteq b[j]) \leftrightarrow a \doteq b)
\end{aligned}
$$

Please be precise and justify every proof step.
2.b) Consider the following clause set $\hat{\delta}(\varphi)$ which has been derived from an (unknown) formula $\varphi$ by an improved version of Tseitin's translation (atoms have not been labeled).

| $C_{1}:$ | $\ell_{1} \vee \neg x \vee \neg y$ | $C_{2}:$ | $\neg \ell_{1} \vee x$ | $C_{3}:$ | $\neg \ell_{1} \vee y$ |
| :---: | :--- | :---: | :--- | :---: | :--- |
| $C_{4}:$ | $\neg \ell_{2} \vee \neg y \vee z$ | $C_{5}:$ | $\ell_{2} \vee y$ | $C_{6}:$ | $\ell_{2} \vee \neg z$ |
| $C_{7}:$ | $\neg \ell_{3} \vee \neg \ell_{1} \vee z$ | $C_{8}:$ | $\ell_{3} \vee \ell_{1}$ | $C_{9}:$ | $\ell_{3} \vee \neg z$ |
| $C_{10}:$ | $\neg \ell_{4} \vee \neg x \vee \ell_{2}$ | $C_{11}:$ | $\ell_{4} \vee x$ | $C_{12}:$ | $\ell_{4} \vee \neg \ell_{2}$ |
| $C_{13}:$ | $\neg \ell_{5} \vee \neg \ell_{3} \vee \ell_{4}$ | $C_{14}:$ | $\neg \ell_{5} \vee \ell_{3} \vee \neg \ell_{4}$ | $C_{15}:$ | $\ell_{5} \vee \ell_{3} \vee \ell_{4}$ |
| $C_{16}:$ | $\ell_{5} \vee \neg \ell_{3} \vee \neg \ell_{4}$ |  |  |  |  |

(i) Reconstruct $\varphi$ from $\hat{\delta}(\varphi)$ with a minimal number of connectives.
(ii) Suppose you want to check the validity of $\varphi$ by resolution and $\hat{\delta}(\varphi)$. How do you proceed? Please explain your approach!

## Block 3.)

3.a) Let $p$ be the following IMP program loop, containing the integer-valued program variables $x, y, z$ :

$$
\begin{aligned}
& \text { while } y \neq z \text { do } \\
& x:=x+2 ; \\
& z:=x-2 \\
& y:=y+z \\
& \text { od }
\end{aligned}
$$

Which of the following program assertions are inductive loop invariants of $p$ ?

- $I_{1}: \quad x-z=2$
- $I_{2}: y=1 \wedge z=1$
- $I_{3}: y=x+z$

Give formal details justifying your answer. That is, if an assertion is an inductive loop invariant, provide a formal proof of it based on Hoare logic or using weakest liberal preconditions. If an assertion is not an inductive loop invariant, give a counterexample and justify your answer.
3.b) Let $x, y$ be integer-valued variables and $p$ an arbitrary IMP program.

Assume that the Hoare triple $[x \neq y] \quad p \quad[x=y]$ for total correctness is valid. Then, which of the Hoare triples below are valid:
(i) $[x=1 \wedge y=1]$ if $x \neq y$ then $p$ else abort $[x=y]$ ?
(ii) $[x=1 \wedge y=2]$ if $x \neq y$ then $p$ else abort $[x=y]$ ?

For each of the triples, if the triple is valid, justify your answer. Otherwise, provide a counterexample.

## Block 4.)

4.a) Consider the Kripke structures $M_{1}$ and $M_{2}$. The initial states of $M_{1}$ are $s_{1}$ and $s_{2}$ and the initial state of $M_{2}$ is $t_{1}$.

Kripke structure $M_{1}$ :


Kripke structure $M_{2}$ :

i. Check whether $M_{2}$ simulates $M_{1}$, i.e., provide a simulation relation that witnesses $M_{1} \preceq M_{2}$, or briefly explain why $M_{2}$ does not simulate $M_{1}$.
ii. Check whether $M_{1}$ simulates $M_{2}$, i.e., provide a simulation relation that witnesses $M_{2} \preceq M_{1}$, or briefly explain why $M_{1}$ does not simulate $M_{2}$.
4.b) Consider the following Kripke structure $M$ :


For each of the following formulae $\varphi$,
i. indicate whether the formula is in CTL, LTL, and/or CTL*, and
ii. indicate for which combinations of variables (if any) in $s 2$, the formula is true in state $s 0$, i.e., for which Kripke structures $M$ do we have $M, s 0 \models \varphi$ ? (Note: if $\varphi$ is a path formula, we mean $M, s 0 \models \mathbf{A} \varphi$.)

| $\varphi$ | CTL | LTL | CTL $^{*}$ | $s 2:\{a\}$ | $s 2:\{b\}$ | $s 2:\{a, b\}$ |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{F} b$ | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |
| $\mathbf{E}(b \mathbf{U} a)$ | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |
| $\mathbf{X X} \neg b$ | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |
| $\mathbf{G} \neg(a \leftrightarrow b)$ | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |
| $\mathbf{E X}(b \wedge \mathbf{G} a)$ | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ | $\square$ |

4.c) An LTL formula is a tautology if it holds for every Kripke structure $M$ and every path $\pi$ in $M$. For each of the following formulas, prove that it is a tautology, or find a Kripke structure $M$ and path $\pi$ in $M$ for which the formula does not hold and justify your answer.
i. $\mathbf{G}(\mathbf{F} a \leftrightarrow \mathbf{F} b) \rightarrow(\mathbf{G F} a \wedge \mathbf{G F} b)$
ii. $(\mathbf{G F} a \wedge \mathbf{G F} b) \rightarrow \mathbf{G}(\mathbf{F} a \leftrightarrow \mathbf{F} b)$

