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6.0/4.0 VU Formale Methoden der Informatik (185.291)
Dec 10, 2021

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1.) An undirected graph (V, E) is called a *degree-restricted graph* if for each vertex $v \in V$ it holds that the degree of v is 1 or even (i.e. 1, 2, 4, 6, ...).

Examples: $(\{a, b, c, d\}, \{[a, b], [b, c], [c, d]\})$ is degree-restricted since vertices a and d have degree 1, and b and c have an even degree 2. $(\{a, b, c, d\}, \{[a, b], [a, c], [a, d]\})$ is not degree-restricted since vertex a has degree 3.

Consider the following variant of the 3-coloring problem:

3-COLORABILITY-DEGREE-RESTRICTED (3COLD)

INSTANCE: A degree-restricted graph $G = (V, E)$.

QUESTION: Does there exist a function μ from vertices in V to values in $\{0, 1, 2\}$ such that $\mu(v_1) \neq \mu(v_2)$ for any edge $[v_1, v_2] \in E$.

(a) The following function f provides a polynomial-time many-one reduction from **3COL** to **3COLD**: for an undirected graph $G = (\{v_1, \dots, v_n\}, E)$, add for each vertex v_i with odd degree > 2

- a new vertex x_i
- an edge $[v_i, x_i]$

to G , and let $f(G)$ be the resulting degree-restricted graph.

Show that G is a yes-instance of **3COL** \iff $f(G)$ is a yes-instance of **3COLD**.

(9 points)

(b) In what follows assume the reduction from **3COL** to **3COLD** is correct, and recall that **3COL** is NP-complete.

Tick the correct statements (for ticking a correct statement a certain number of points is given; ticking an incorrect statement results in a subtraction of the same amount; you cannot go below 0 points):

- Since **3COL** is NP-complete, our reduction shows that **3COLD** is NP-hard.
- Since **3COL** is NP-hard, our reduction shows that **3COLD** is NP-hard.
- Since **3COL** is in NP, our reduction shows that **3COLD** is NP-hard.
- Since **3COLD** is a special case of **3COL**, it follows that **3COLD** is contained in NP.
- Since **3COLD** is a special case of **3COL**, it follows that **3COLD** is NP-hard.
- Since **3COLD** is a special case of **3COL**, it follows that **3COLD** is NP-complete (even without the above reduction).

(6 points)

- 2.) (a) Consider the following clause set $\hat{\delta}(\varphi)$ which has been derived from an (unknown) formula φ by Tseitin's translation (atoms have not been labeled).

$$\begin{array}{lll}
C_1: & \ell_1 \vee \neg x \vee \neg y & C_2: \quad \neg \ell_1 \vee x & C_3: \quad \neg \ell_1 \vee y \\
C_4: & \neg \ell_2 \vee \neg y \vee z & C_5: \quad \ell_2 \vee y & C_6: \quad \ell_2 \vee \neg z \\
C_7: & \neg \ell_3 \vee \neg \ell_1 \vee z & C_8: \quad \ell_3 \vee \ell_1 & C_9: \quad \ell_3 \vee \neg z \\
C_{10}: & \neg \ell_4 \vee \neg x \vee \ell_2 & C_{11}: \quad \ell_4 \vee x & C_{12}: \quad \ell_4 \vee \neg \ell_2 \\
C_{13}: & \neg \ell_5 \vee \neg \ell_3 \vee \ell_4 & C_{14}: \quad \ell_5 \vee \ell_3 & C_{15}: \quad \ell_5 \vee \neg \ell_4
\end{array}$$

- (i) Reconstruct φ from $\hat{\delta}(\varphi)$.
(ii) Prove the correctness of the propositional resolution rule.
(iii) Prove the validity of φ by resolution (no additional translation to normal form is allowed!). You are allowed to add a single unit clause (i.e., a clause containing exactly one literal). Please explain your approach!

(7 points)

- (b) Clarify the logical status of each of the following formulas. If one is \mathcal{T}_{cons}^E -valid or \mathcal{T}_{cons}^E -unsatisfiable, then prove it using the semantic argument method. If one is \mathcal{T}_{cons}^E -satisfiable but not \mathcal{T}_{cons}^E -valid, then present a satisfying and a falsifying interpretation. Argue formally that the formula evaluates to true resp. false under the constructed interpretations.

$$\begin{aligned}
\varphi_0: & \neg atom(x) \wedge car(x) \doteq y \wedge cdr(x) \doteq z \wedge x \neq cons(y, z) \\
\varphi_1: & cons(car(x), cdr(x)) \doteq cons(y, z) \wedge cons(car(x), cdr(x)) \neq x \\
& \rightarrow x \neq cons(y, z)
\end{aligned}$$

Besides the equality axioms, the following axioms of \mathcal{T}_{cons}^E may be helpful.

- $\forall x, y \ car(cons(x, y)) \doteq x$ (left projection)
- $\forall x, y \ cdr(cons(x, y)) \doteq y$ (right projection)
- $\forall x \ \neg atom(x) \rightarrow cons(car(x), cdr(x)) \doteq x$ (construction)
- $\forall x, y \ \neg atom(cons(x, y))$ (atom)

(8 points)

(15 points)

- 3.) (a) Let p be the following IMP program loop, containing the integer-valued program variables x, i, b :

```
while  $x < b$  do  
   $x := i * i$ ;  
   $i := i + 1$ ;  
od
```

Which of the following program assertions are inductive loop invariants of p ?

- I_1 : $x - i * i = 0$
- I_2 : $true$
- I_3 : $x \leq b$

Give formal details justifying your answer. That is, if an assertion is an inductive loop invariant, provide a formal proof of it based on Hoare logic. If an assertion is not an inductive loop invariant, give a counterexample.

Note: You need to use the definition of an assertion being inductive invariant.

(6 points)

- (b) Let p be the following IMP program loop, containing the integer-valued program variables i, x, y :

```
while  $i < 10$  do  
   $i := i + 1$ ;  
   $x := x - 2$ ;  
   $y := y + x + 2 * i$ ;  
od
```

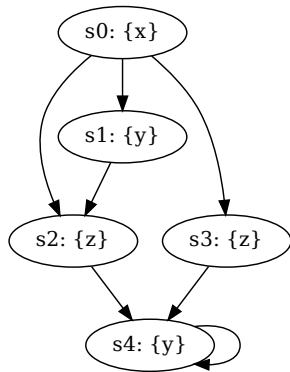
Give a loop invariant for the **while** loop in p and prove the validity of the partial correctness triple $\{i = 0 \wedge x = 10 \wedge y = 0\} p \{y = 100\}$.

Note: Make sure that your invariant expresses equalities among i, x, y as well equalities among i, x .

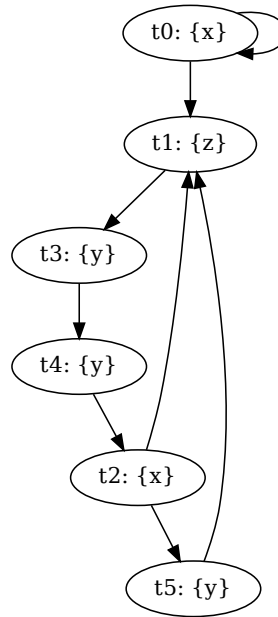
(9 points)

- 4.) (a) Consider the Kripke structures M_1 and M_2 . The initial state of M_1 is s_0 , the initial state of M_2 is t_0 .

Kripke structure M_1 :



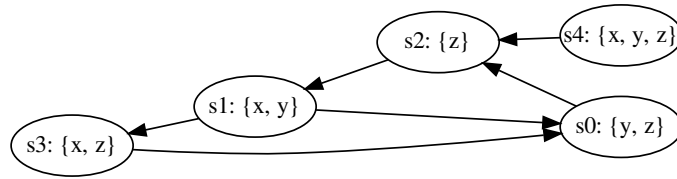
Kripke structure M_2 :



- i. Briefly explain why M_2 does not simulate M_1 .
- ii. Add a minimal set of edges to M_2 such that the extended Kripke structure M_2' simulates M_1 . Provide the additional edges and a non-empty simulation relation H that witnesses $M_1 \preceq M_2'$.

(4 points)

(b) Consider the following Kripke structure M :



For each of the following formulae φ ,

- i. indicate whether the formula is in CTL, LTL, and/or CTL*, and
- ii. list the states s_i on which the formula φ holds; i.e. for which states s_i do we have $M, s_i \models \varphi$?
(If φ is a path formula, list the states s_i such that $M, s_i \models \mathbf{A}\varphi$.)

| φ | CTL | LTL | CTL* | States s_i |
|---------------------------|--------------------------|--------------------------|--------------------------|--------------|
| $\mathbf{AF}(x \wedge z)$ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| $\mathbf{G}(z)$ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| $x \mathbf{U} y$ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| $\mathbf{EF}(x)$ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |
| $\mathbf{AX}(x)$ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | |

(5 points)

(c) **LTL tautologies**

Prove that the following formulas are tautologies, i.e., they hold for every Kripke structure M and every path π in M , or find a Kripke structure M and path π in M , for which the formula does not hold and justify your answer.

i. $a \mathbf{U} (b \vee \neg a) \Rightarrow \neg \mathbf{G}a \wedge \mathbf{F}b$

ii. $\neg \mathbf{G}a \wedge \mathbf{F}b \Rightarrow a \mathbf{U} (b \vee \neg a)$

(6 points)