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**6.0/4.0 VU Formale Methoden der Informatik (185.291)**  
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1.) An undirected graph  $(V, E)$  is called a *degree-restricted graph* if for each vertex  $v \in V$  it holds that the degree of  $v$  is odd (i.e. 1, 3, 5, ...).

Examples:  $(\{a, b, c, d\}, \{[a, b], [a, c], [a, d]\})$  is degree-restricted since vertex  $a$  has degree 3 and vertices  $\{b, c, d\}$  have all degree 1.

$(\{a, b, c, d\}, \{[a, b], [b, c], [c, d]\})$  is not degree-restricted since vertices  $b$  and  $c$  have an even degree 2.

Consider the following variant of the 3-coloring problem:

**3-COLORABILITY-DEGREE-RESTRICTED (3COLD)**

INSTANCE: A degree-restricted graph  $G = (V, E)$ .

QUESTION: Does there exist a function  $\mu$  from vertices in  $V$  to values in  $\{0, 1, 2\}$  such that  $\mu(v_1) \neq \mu(v_2)$  for any edge  $[v_1, v_2] \in E$ .

(a) The following function  $f$  provides a polynomial-time many-one reduction from **3COL** to **3COLD**: for an undirected graph  $G = (\{v_1, \dots, v_n\}, E)$ , add for each vertex  $v_i$  with even degree

- a new vertex  $x_i$
- an edge  $[v_i, x_i]$

to  $G$ , and let  $f(G)$  be the resulting degree-restricted graph.

Show that  $G$  is a yes-instance of **3COL**  $\iff$   $f(G)$  is a yes-instance of **3COLD**.

(9 points)

(b) In what follows assume the reduction from **3COL** to **3COLD** is correct, and recall that **3COL** is NP-complete.

Tick the correct statements (for ticking a correct statement a certain number of points is given; ticking an incorrect statement results in a subtraction of the same amount; you cannot go below 0 points):

- Since **3COL** is NP-complete, our reduction shows that **3COLD** is NP-hard.
- Since **3COL** is NP-hard, our reduction shows that **3COLD** is NP-hard.
- Since **3COL** is in NP, our reduction shows that **3COLD** is NP-hard.
- Since **3COLD** is a special case of **3COL**, it follows that **3COLD** is contained in NP.
- Since **3COLD** is a special case of **3COL**, it follows that **3COLD** is NP-hard.
- Since **3COLD** is a special case of **3COL**, it follows that **3COLD** is NP-complete (even without the above reduction).

(6 points)

- 2.) (a) Consider the following clause set  $\hat{\delta}(\varphi)$  which has been derived from an (unknown) formula  $\varphi$  by an improved version of Tseitin's translation (atoms have not been labeled).

$$\begin{array}{lll}
C_1: & \ell_1 \vee \neg x \vee \neg y & C_2: \quad \neg \ell_1 \vee x & C_3: \quad \neg \ell_1 \vee y \\
C_4: & \neg \ell_2 \vee \neg y \vee z & C_5: \quad \ell_2 \vee y & C_6: \quad \ell_2 \vee \neg z \\
C_7: & \neg \ell_3 \vee \neg \ell_1 \vee z & C_8: \quad \ell_3 \vee \ell_1 & C_9: \quad \ell_3 \vee \neg z \\
C_{10}: & \neg \ell_4 \vee \neg x \vee \ell_2 & C_{11}: \quad \ell_4 \vee x & C_{12}: \quad \ell_4 \vee \neg \ell_2 \\
C_{13}: & \neg \ell_5 \vee \neg \ell_4 \vee \ell_3 & C_{14}: \quad \ell_5 \vee \ell_4 & C_{15}: \quad \ell_5 \vee \neg \ell_3
\end{array}$$

- (i) Reconstruct  $\varphi$  from  $\hat{\delta}(\varphi)$ .  
(ii) Prove the correctness of the propositional resolution rule.  
(iii) Prove the validity of  $\varphi$  by resolution (no additional translation to normal form is allowed!). You are allowed to add a single unit clause (i.e., a clause containing exactly one literal). Please explain your approach!

**(7 points)**

- (b) Clarify the logical status of each of the following formulas. If one is  $\mathcal{T}_{cons}^E$ -valid or  $\mathcal{T}_{cons}^E$ -unsatisfiable, then prove it using the semantic argument method. If one is  $\mathcal{T}_{cons}^E$ -satisfiable but not  $\mathcal{T}_{cons}^E$ -valid, then present a satisfying and a falsifying interpretation. Argue formally that the formula evaluates to true resp. false under the constructed interpretations.

$$\begin{aligned}
\varphi_0: & \text{cons}(\text{car}(x), \text{cdr}(x)) \doteq \text{cons}(y, z) \wedge \text{cons}(\text{car}(x), \text{cdr}(x)) \not\equiv x \\
& \quad \rightarrow x \not\equiv \text{cons}(y, z) \\
\varphi_1: & \neg \text{atom}(x) \wedge \text{car}(x) \doteq y \wedge \text{cdr}(x) \doteq z \wedge x \not\equiv \text{cons}(y, z)
\end{aligned}$$

Besides the equality axioms, the following axioms of  $\mathcal{T}_{cons}^E$  may be helpful.

- $\forall x, y \text{ car}(\text{cons}(x, y)) \doteq x$  (left projection)
- $\forall x, y \text{ cdr}(\text{cons}(x, y)) \doteq y$  (right projection)
- $\forall x \neg \text{atom}(x) \rightarrow \text{cons}(\text{car}(x), \text{cdr}(x)) \doteq x$  (construction)
- $\forall x, y \neg \text{atom}(\text{cons}(x, y))$  (atom)

**(8 points)**

**(15 points)**

- 3.) (a) Let  $p$  be the following IMP program loop, containing the integer-valued program variables  $x, i, b$ :

```
while  $x < b$  do  
   $i := i + 1$ ;  
   $x := i * i$ ;  
od
```

Which of the following program assertions are inductive loop invariants of  $p$ ?

- $I_1$  :  $x - i * i \neq 0$
- $I_2$  :  $x \leq b$
- $I_3$  :  $true$

Give formal details justifying your answer. That is, if an assertion is an inductive loop invariant, provide a formal proof of it based on Hoare logic. If an assertion is not an inductive loop invariant, give a counterexample.

**Note:** You need to use the definition of an assertion being inductive invariant.

(6 points)

- (b) Let  $p$  be the following IMP program loop, containing the integer-valued program variables  $i, x, y$ :

```
while  $i < 10$  do  
   $x := x - 1$ ;  
   $i := i + 1$ ;  
   $y := y + x + i$ ;  
od
```

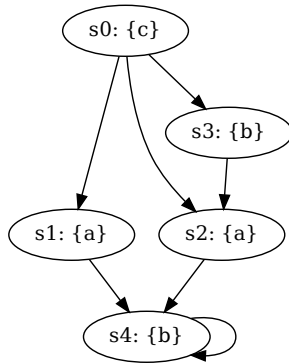
Give a loop invariant for the **while** loop in  $p$  and prove the validity of the partial correctness triple  $\{i = 0 \wedge x = 10 \wedge y = 0\} p \{y = 100\}$ .

**Note:** Make sure that your invariant expresses equalities among  $i, x, y$  as well equalities among  $i, x$ .

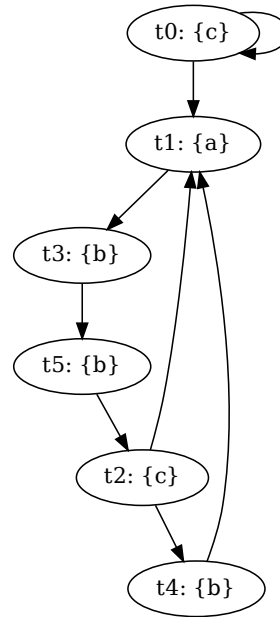
(9 points)

- 4.) (a) Consider the Kripke structures  $M_1$  and  $M_2$ . The initial state of  $M_1$  is  $s_0$ , the initial state of  $M_2$  is  $t_0$ .

**Kripke structure  $M_1$ :**



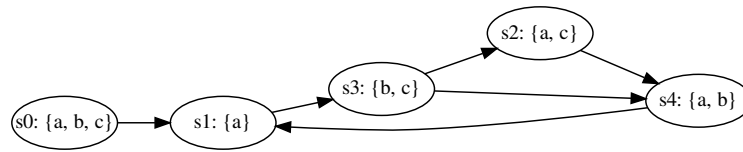
**Kripke structure  $M_2$ :**



- i. Briefly explain why  $M_2$  does not simulate  $M_1$ .
- ii. Add a minimal set of edges to  $M_2$  such that the extended Kripke structure  $M'_2$  simulates  $M_1$ . Provide the additional edges and a non-empty simulation relation  $H$  that witnesses  $M_1 \preceq M'_2$ .

**(4 points)**

(b) Consider the following Kripke structure  $M$ :



For each of the following formulae  $\varphi$ ,

- i. indicate whether the formula is in CTL, LTL, and/or CTL\*, and
- ii. list the states  $s_i$  on which the formula  $\varphi$  holds; i.e. for which states  $s_i$  do we have  $M, s_i \models \varphi$ ?  
(If  $\varphi$  is a path formula, list the states  $s_i$  such that  $M, s_i \models \mathbf{A}\varphi$ .)

$\varphi$	CTL	LTL	CTL*	States $s_i$
$\mathbf{EF}(c)$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
$c \mathbf{U} b$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
$\mathbf{AX}(c)$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
$\mathbf{G}(a)$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
$\mathbf{AF}(a \wedge c)$	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

(5 points)

(c) **LTL tautologies**

Prove that the following formulas are tautologies, i.e., they hold for every Kripke structure  $M$  and every path  $\pi$  in  $M$ , or find a Kripke structure  $M$  and path  $\pi$  in  $M$ , for which the formula does not hold and justify your answer.

- i.  $\neg \mathbf{G}p \wedge \mathbf{F}q \Rightarrow p \mathbf{U} (q \vee \neg p)$
- ii.  $p \mathbf{U} (q \vee \neg p) \Rightarrow \neg \mathbf{G}p \wedge \mathbf{F}q$

**(6 points)**