#### **VO Deductive Databases**

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#### **Program Transformations**

- Basic Goal: We are looking for *efficient* methods to replace in programs,
  - a set of rules R
  - by a *simpler* set of rules R',

such that the answer sets are not changed by this manipulation.

- In particular,
  - "efficient" means that the method should be easier than checking equivalence between P and  $(P \setminus R) \cup R'$ , in general.
  - "simpler" refers to R' to be from an easier syntactical class than R, or to have less rules than R, ...

## **Program Transformations (ctd.)**

- > Formally, we consider triples  $S: R \Rightarrow R'$  where,
  - R and R' are sets of rules;
  - S is the so-called *precondition*, i.e., a set of programs which have R as a subprogram.
- ▶ Let T be a triple of form  $S : R \Rightarrow R'$ .
  - A program P is called T-applicable iff  $P \in S$ ;
  - For any *T*-applicable program *P*,  $(P \setminus R) \cup R'$  is called the *T*-result of *P*.
  - T is called a *transformation*, iff, any T-applicable program P is equivalent to  $(P \setminus R) \cup R'$ .
  - If each program P with  $R \subseteq P$  is contained in S, we leave S implicit, and identify T as the pair  $R \Rightarrow R'$ .

## **Program Transformations (ctd.)**

- ➤ We call such transformations  $R \Rightarrow R'$  (i.e., without precondition) also *local transformations*, since we replace R by R' without looking at the applied program, expect checking  $R \subseteq P$ .
- > Transformations of the form  $S : R \Rightarrow \emptyset$  are called *rule eliminations*; that is, R is deleted from an applied program P.

### **Program Transformations (ctd.)**

- Observation: Local transformations inherently satisfy the condition that the result is *strongly* equivalent to the applied program.
- Formally, let  $R \Rightarrow R'$  be a local transformation. Then, for any P with  $R \subseteq P$ ,  $P \equiv (P \setminus R) \cup R'$ .
- Proof Sketch:
  - Any local transformation requires  $R \equiv_s R'$ , otherwise there exists at least one P, such that  $AS(R \cup P) \neq AS(R' \cup P)$ ; but then applying  $R \Rightarrow R'$  to the program  $R \cup P$  would change the answer sets.
  - By definition of strong equivalence,  $R \equiv_s R'$  implies that  $P \equiv_s (P \setminus R) \cup R'$  holds, for any P with  $R \subseteq P$ .

#### Local Rule Elimination

- > We consider local transformations of the form  $R \Rightarrow \emptyset$ .
- ► Observation:  $R \Rightarrow \emptyset$  is a transformation iff, for each  $r \in R$ ,  $\{r\} \Rightarrow \emptyset$  is a transformation.
- It thus is sufficient to consider single rules which can be eliminated in any program, in order to get a full picture of local rule eliminations.
- In other words, we seek for rules which are strongly equivalent to the empty program.
  - Recall: the empty program has any SE-interpretation as its SE-model.

> **Proposition** [Osorio *et al.*, 01]: Any propositional rule r with  $B^+(r) \cap (H(r) \cup B^-(r)) \neq \emptyset$  (1)

satisfies  $\{r\} \equiv_s \emptyset$ .

- ▶ Proof (Sketch). Each (J,I) with  $J \subseteq I$  is SE-model of  $\emptyset$ . Towards a contradiction, suppose an SE-interpretation  $(J,I) \notin SE(r)$  (if already  $(I,I) \notin SE(r)$ , use J = I below). Then,
  - (i)  $I \cap B^-(r) = \emptyset$ ;
  - (ii)  $B^+(r) \subseteq J$ , and
  - (iii)  $J \cap H(r) = \emptyset$ .

have to hold. Since r satisfies (1), either

(a)  $B^+(r) \cap H(r) \neq \emptyset$  or (b)  $B^+(r) \cap B^-(r) \neq \emptyset$ 

holds. But (a) is in contradiction to (ii)+(iii), and by  $J \subseteq I$ , (b) is in contradiction to (i)+(ii).

- It can be shown that the condition from the previous slide captures all possible local rule eliminations in the propositional setting.
- ▶ Proposition [Inoue and Sakama, 04]: Let r be a propositional rule. Then,  $\{r\} \equiv_s \emptyset$  implies that  $B^+(r) \cap (H(r) \cup B^-(r)) \neq \emptyset$  holds.
- We conclude: The set of all local rule eliminations in propositional ASP is exactly given by the set

$$\Big\{ R \Rightarrow \emptyset \mid \text{each } r \in R \text{ satisfies } B^+(r) \cap \big( H(r) \cup B^-(r) \big) \neq \emptyset \Big\}.$$

- Interestingly, exactly the same condition applies to non-ground programs, i.e., programs with variables:
- > **Proposition** [Eiter *et al.*, 06]: Let *r* be a non-ground rule. Then,  $\{r\} \equiv_s \emptyset$  holds iff  $B^+(r) \cap (H(r) \cup B^-(r)) \neq \emptyset$ .
- In other words, the set of all local rule eliminations in ASP is exactly given by the set

$$\left\{ R \Rightarrow \emptyset \mid \text{each } r \in R \text{ satisfies } B^+(r) \cap \left( H(r) \cup B^-(r) \right) \neq \emptyset \right\}.$$

> Examples:

- We can remove rules of the form

 $a(X) \lor b(Y,Z) \leftarrow c(X,Y), b(Y,Z)$ 

or

$$a(X) \leftarrow b(X, Y), c(Z), not c(Z)$$

from any program.

- This does not holds for rules like,

$$a(X) \lor b(Y,Z) \leftarrow c(X,Y), b(Z,Y)$$

or

$$a(X) \leftarrow b(X, Y), c(Z), not c(Y).$$

### Local Rule Redundancy

- > We now seek for translations of the form  $\{r, s\} \Rightarrow \{s\}$ .
- > In other words, such translations allow us to eliminate a rule r, whenever an additional rule s is contained in the applied program.
- ▶ In our setting, such translations could also be represented using a precondition, i.e., using  $S : \{r\} \to \emptyset$ , with  $P \in S$  iff  $s \in P$  (and by definition,  $r \in P$ ).

- Example: Consider s to be the the rule  $a \leftarrow$ . Then, rules

$$a \lor b \leftarrow, \quad a \leftarrow b, \quad \text{or} \quad a \leftarrow not \ b$$

can faithfully be eliminated from any program containing s.

This also holds for rules where a "moves" from the head to the negative body:

 $\leftarrow not a, \quad b \leftarrow not a, \quad or \quad \leftarrow b, not a.$ 

► General Observation: For any rules r, s, the pair  $\{r, s\} \Rightarrow \{s\}$  is a translation, iff  $SE(s) \subseteq SE(r)$ .

- Rules r, s which satisfy  $SE(s) \subseteq SE(r)$  have been characterized in [Lin and Chen, 05].
- **Proposition.** Let s and r be propositional rules, such that

$$H(s) \subseteq (H(r) \cup B^{-}(r)); \quad B(s) \subseteq B(r).$$
<sup>(2)</sup>

Then,  $SE(s) \subseteq SE(r)$ .

> Further example for a translation  $\{r, s\} \Rightarrow \{s\}$ :

 $s = a \lor c \leftarrow b$  and  $r = a \leftarrow b, d, not c.$ 

➤ Do rules r, s of form (2) characterize all possible transformations  $\{r, s\} \Rightarrow \{s\}$ ? Not yet; we also need the case, where r can be eliminated anyway, i.e., where  $\{r\} \Rightarrow \emptyset$  is already a transformation.

▶ Let  $\mathcal{R}$  be the set of all pairs  $\{r, s\} \Rightarrow \{s\}$ , such that either

- $B^+(r) \cap (H(r) \cup B^-(r)) \neq \emptyset$ , or
- $H(s) \subseteq (H(r) \cup B^{-}(r))$  and  $B(s) \subseteq B(r)$  jointly hold.
- ➤ All local rule redundancies of the form {r,s} ⇒ {s} are exactly given by R.
- Note that given a program P, checking whether such a local redundancy can be applied to P, is computationally easy.

In the non-ground case, things become a bit more difficult.

- First, we may have different variables in different rules: Example:  $a(X) \leftarrow b(X)$  vs.  $a(Y) \leftarrow b(Y), c(Z)$ .
- Second, a rule is also redundant if it has "less instantiations": Example:  $a(X) \leftarrow b(X)$  vs.  $a(c) \leftarrow b(c)$ .

- ▶ Let  $\mathcal{R}'$  be the set of all pairs  $\{r, s\} \Rightarrow \{s\}$  of non-ground rules, such that there
  - exists a substitution  $\theta: \mathcal{V}_s \to \mathcal{V}_r \cup \mathcal{C}_r$  satisfying
  - $H(s\theta) \subseteq (H(r) \cup B^{-}(r))$  and  $B(s\theta) \subseteq B(r)$  jointly hold.
- ▶ **Proposition** [Eiter *et al.*, 06]: Each element  $\{r, s\} \Rightarrow \{s\}$  from  $\mathcal{R}'$  is a translation.
- Siven a program P, checking whether an element from  $\mathcal{R}'$  can be applied to P is complete for NP.

> Example: Consider

$$s = p(X, Y) \leftarrow q(X), r(Y, Z)$$
  
$$r = p(X, Y) \leftarrow q(X), r(Y, Y), r(Z, Z).$$

r can be eliminated from any program containing s.

> Example: Consider

$$s = a \leftarrow e(X_1, X_2), e(X_2, X_3), e(X_3, X_1)$$
  

$$r = a \leftarrow e(g, r), e(r, g), e(r, b), e(b, r), e(g, b), e(b, g).$$

r can be eliminated from any program containing s.

Generalizing this example reduces 3-colorability (an NP-complete problem) to testing applicability of local rule redundancy.

#### **Non-Local Transformations**

Next, we introduce a non-local transformation.

Motivation: Consider the following two rules appear in a program:

$$\begin{array}{rrrr} a & \leftarrow & b \\ a & \leftarrow & not \ b. \end{array}$$

Can we simplify these two rules into a single rule, for instance  $a \leftarrow ?$ 

► Observation:  $\{a \leftarrow\}$  is not strongly equivalent to  $\{a \leftarrow b, a \leftarrow not b\}$ :

- 
$$SE(\{a \leftarrow\}) = \{(a, a), (a, ab), (ab, ab)\};$$
 and

-  $SE(\{a \leftarrow b, a \leftarrow not b\}) = \{a, a\}, (a, ab), (ab, ab), (\emptyset, ab)\}.$ 

#### Non-Local Transformations (ctd.)

- ▶ However,  $\{a \leftarrow\}$  and  $\{a \leftarrow b, a \leftarrow not b\}$  are equivalent (they are also uniformly equivalent).
- ➤ This result extends to any program where b does not occur in rule heads, i.e., in each such program we can replace {a ← b, a ← not b} by {a ←} without changing the answer sets.

▶ In general, for any atom b, triples of the form  $S_b : \{r, s\} \Rightarrow \{t\}$ , where

- 
$$r, s, t$$
 satisfy  $b \in B^-(r) \cap B^+(s)$ ,  $H(r) = H(s) = H(t)$ , and  
 $(B(r) \setminus \{not \ b\}) = (B(s) \setminus \{b\}) = B(t);$ 

-  $S_b$  is a set of programs with b not occurring in rule heads; are translations.

#### Non-Local Transformations (ctd.)

A generalization to the non-ground case is as follows:

> For any non-ground atom b, triples  $S_b : \{r, s\} \Rightarrow \{t\}$ , where

- for r, s, t, there exists a renaming  $\theta : \mathcal{V}_r \to \mathcal{V}_s$ , such that  $b \in B^-(r\theta) \cap B^+(s)$ ,  $H(r\theta) = H(s) = H(t)$ , and  $(B(r\theta) \setminus \{not \ b\}) = (B(s) \setminus \{b\}) = B(t);$ 

- each  $P \in S_b$  satisfies: for each head atom a in P and each  $\theta_a : \mathcal{V} \to \mathcal{C}$  and  $\theta_b : \mathcal{V} \to \mathcal{C}$ ,  $a\theta_a \neq b\theta_b$ , i.e., a and b are not unifiable; are translations.

#### Non-Local Transformations (ctd.)

- A further non-local transformation is *shifting* which eliminates disjunction under the precondition that the applied program is *head-cycle* free.
- > Hereby, a proper disjunctive rule  $a_1 \vee \cdots \vee a_n \leftarrow B(r)$ , with n > 1, is replaced by a set of normal rules

$$\{a_i \leftarrow B(r), not \ a_1, \ldots, not \ a_{i-1}, not \ a_{i+1}, \ldots not \ a_n \mid 1 \le i \le n\}.$$

Also shifting can be generalized to non-ground programs.

T. Eiter, M. Fink, H. Tompits, P. Traxler, and S. Woltran: Replacements in Non-Ground Answer-Set Programming. KR 2006.

#### Exercise

We want to extend our results on *local rule redundancy* for the propositional case.

Try to find patterns which yield (as many as possible) local transformations of the form  $\{r, s, t\} \Rightarrow \{s, t\}$ .

Hint: Consider, e.g.,  $\{a \leftarrow c; a \leftarrow b; b \leftarrow c\} \Rightarrow \{a \leftarrow b; b \leftarrow c\}$ .

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