| 1 | 2 | 3 | 4 | $\Sigma$ |
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## 6.0/4.0 VU Formale Methoden der Informatik (185.291) March 24, 2023

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1.) Recall the 3-COLORABILITY problem from the lecture. Consider the following variant thereof. A graph $G=(V, E)$ is connected iff for each pair $s, t \in V$ there is a path from $s$ to $t$ in $G$, i.e., edges $\left(s, v_{1}\right),\left(v_{1}, v_{2}\right), \ldots,\left(v_{n-1}, v_{n}\right),\left(v_{n}, t\right)$.

## CON-3-COL

INSTANCE: A connected graph $G=(V, E)$.
QUESTION: Does there exist a valid 3 -coloring for $G$, i.e., a function $\mu$ from vertices in $V$ to values in $\{0,1,2\}$ such that $\mu(x) \neq \mu(y)$ for any edge $(x, y) \in E$.
(a) The following function $f$ provides a polynomial-time many-one reduction from the problem 3-COLORABILITY to CON-3-COL: for a graph $G=(V, E)$, let $V_{\text {uncon }}$ be a set of fresh vertices, such that:

$$
V_{\text {uncon }}=\left\{v_{x, y} \mid x, y \in V, \text { there is no path in } G \text { from } x \text { to } y\right\} .
$$

We define $f(G)=G^{\prime}$ with $G^{\prime}=\left(V^{\prime}, E^{\prime}\right)$, where

$$
\begin{aligned}
& V^{\prime}=V \cup V_{\text {uncon }}, \\
& E^{\prime}=E \cup\left\{\left(x, v_{x, y}\right),\left(y, v_{x, y}\right) \mid v_{x, y} \in V_{\text {uncon }}\right\} .
\end{aligned}
$$

Show the correctness of the reduction in (a), i.e., show that $G$ is a positive instance of 3-COLORABILITY if and only if $f(G)$ is a positive instance of CON-3-COL.
(b) Check which statements are true/false. 1 point for each correct answer, -1 for each incorrect answer, 0 for no answer. Negative points do not carry over to other exercises.

You may use the fact that 3-COLORABILITY is NP-complete. Recall also that SATISFIABILITY is NP-complete.
true false

- $\quad$ The correctness of the reduction in (a) proves NP-hardness of CON-3-COL. The correctness of the reduction in (a) proves NP-membership of the complement of CON-3-COL.

The correctness of the reduction in (a) proves undecidability of CON-3-COL

If we can show CON-3-COL to be in P , we also would have shown $\mathrm{P}=\mathrm{NP}$.

The fact that CON-3-COL is a special case of 3-COLORABILITY proves NP-membership of CON-3-COL. The correctness of the reduction in (a) proves that there is a polynomial-time many-one reduction from SATISFIABILITY to CON-3-COL.
2.) (a) Translate the following formula $\varphi^{E}$ :

$$
\neg(a \doteq b \wedge a \neq c \rightarrow((a \doteq d \wedge e \neq f) \vee e \neq h \vee f \neq g \vee(b \neq c \wedge e \doteq h \wedge h \neq g)))
$$

into a propositional formula $\varphi^{p}$ such that $\varphi^{E}$ is E-satisfiable if and only if $\varphi^{p}$ is satisfiable.
Recall that a formula is simplified before the propositional skeleton and the transitivity constraints are constructed. In the simplification steps, indicate the simple contradictory cycles and the pure literals.
(12 points)
(b) Consider the clauses $C_{0}, \ldots, C_{6}$ in dimacs format (in this order from top to bottom, shown in the box) which are given as input to a SAT solver.

- Apply CDCL using the convention that if a variable is assigned as a decision, then it is assigned 'true'. Select variables as decisions in increasing order of their respective integer IDs in the dimacs format, starting with variable 1. Recall that unit clauses require a special treatment.
- When the first conflict occurs, draw the complete implication graph, mark the first UIP, give the resolution derivation of the learned asserting clause that corresponds to

```
2 0
-140
-4 5 0
-2 -4 6 0
-3 -6 7 0
-7 9 0
-5 -6 -7 -9 0
```

3.)
(a) Let $p$ be the following IMP program loop, containing the integer-valued program variables $x, y$ :

$$
\begin{aligned}
& \text { while } x<y \text { do } \\
& \quad x:=3 * x-3 * y \\
& y:=4 * y-2 * x \\
& \text { od }
\end{aligned}
$$

Which of the following program assertions are inductive loop invariants of $p$ ?

- $I_{1}: \quad x=y$
- $I_{2}: x+y=2$
- $I_{3}: 3 * x+y=4$

Give formal details justifying your answer. That is, if an assertion is an inductive loop invariant, provide a formal proof of it based on Hoare logic or using weakest liberal preconditions. If an assertion is not an inductive loop invariant, give a counterexample.
(b) Consider the following rule in Hoare logic:
$\{A \wedge b\} p\{B\}$
$\overline{\{A\} \text { if } b \text { then } p \text { else abort }\{B\}}$
where $A, B$ are assertions, $b$ is a Boolen expression, and $p$ is an IMP program.
Is this rule sound? If yes, give a formal proof. Otherwise, give a counterexample.
4.) (a) Consider the Kripke structures $M_{1}$ and $M_{2}$. The initial state of $M_{1}$ is $s_{0}$, the initial state of $M_{2}$ is $t_{0}$. Some labels are given, for example state $t_{0}$ has label $a$. On the other hand most labels in $M_{1}$ as well as the label of $t_{3}$ in $M_{2}$ are missing. Assume that each state is labeled with a singular label $a, b$ or $c$.
Kripke structure $M_{1}$ :

i. Fill in the missing labels such that $M_{2}$ simulates $M_{1}$. Is there more than one possible solution?
ii. Argue why it is not possible to fill the missing labels such that $M_{1}$ simulates $M_{2}$.
(b) Consider the following Kripke structure $M$ :


For each of the following formulae $\varphi$,
i. indicate whether the formula is in CTL, LTL, and/or CTL*, and
ii. list the states $s_{i}$ on which the formula $\varphi$ holds; i.e. for which states $s_{i}$ do we have $M, s_{i} \models \varphi$ ?
(If $\varphi$ is a path formula, list the states $s_{i}$ such that $M, s_{i} \models \mathbf{A} \varphi$.)

(c) Prove that the following LTL-formulas are tautologies, i.e., they hold for every Kripke structure $M$ and every path $\pi$ in $M$, or find a Kripke structure $M$ and path $\pi$ in $M$, for which the formula does not hold and justify your answer.
i. $\quad((\mathbf{F} a) \mathbf{U} b) \rightarrow \mathbf{F}(b \wedge \mathbf{F} a)$.
ii. $\mathbf{F}(b \wedge \mathbf{F} a) \rightarrow((\mathbf{F} a) \mathbf{U} b)$.

